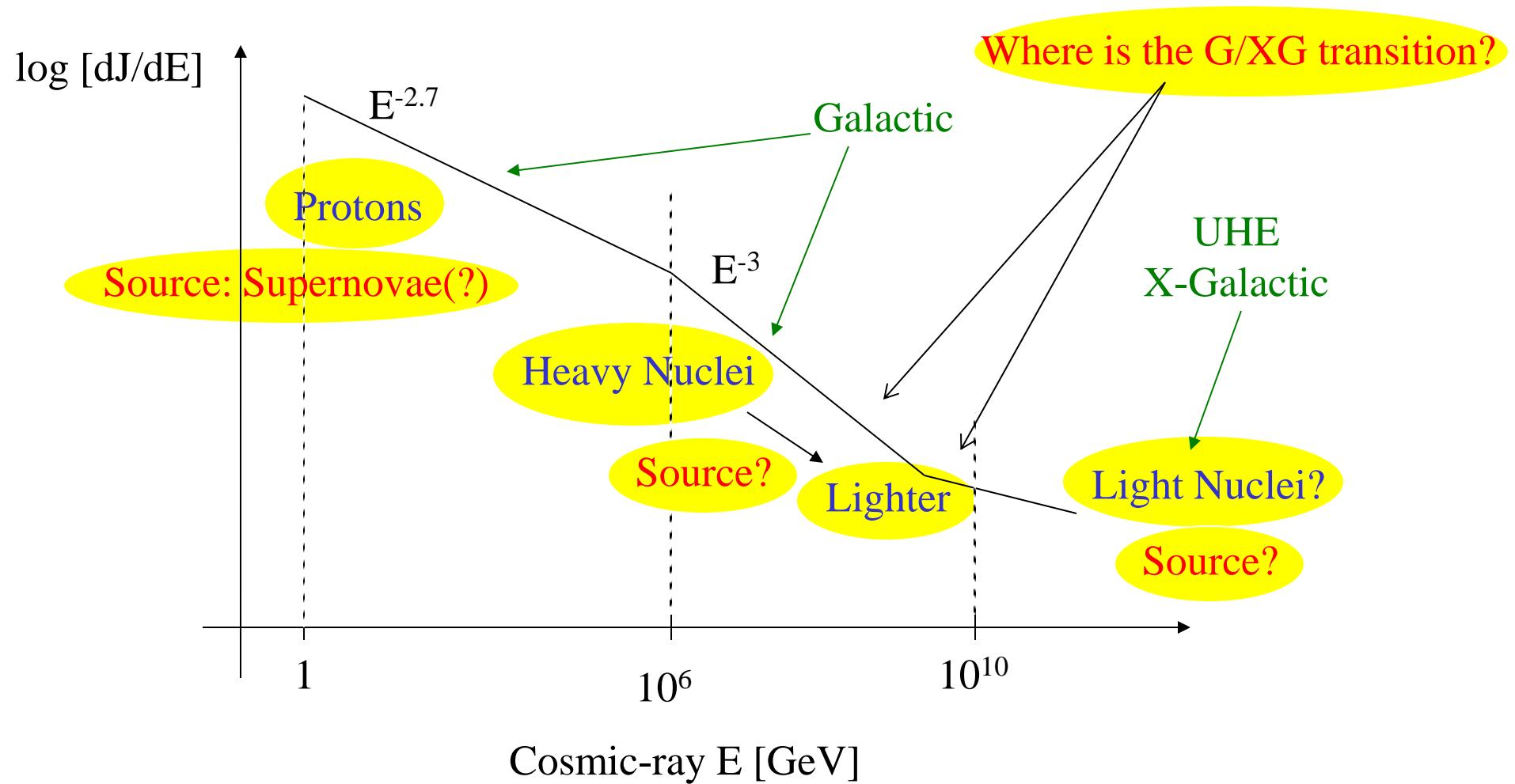


*The origin of cosmic neutrinos and  
the high energy sky,  
a theoretical perspective*

E. Waxman  
Weizmann Institute

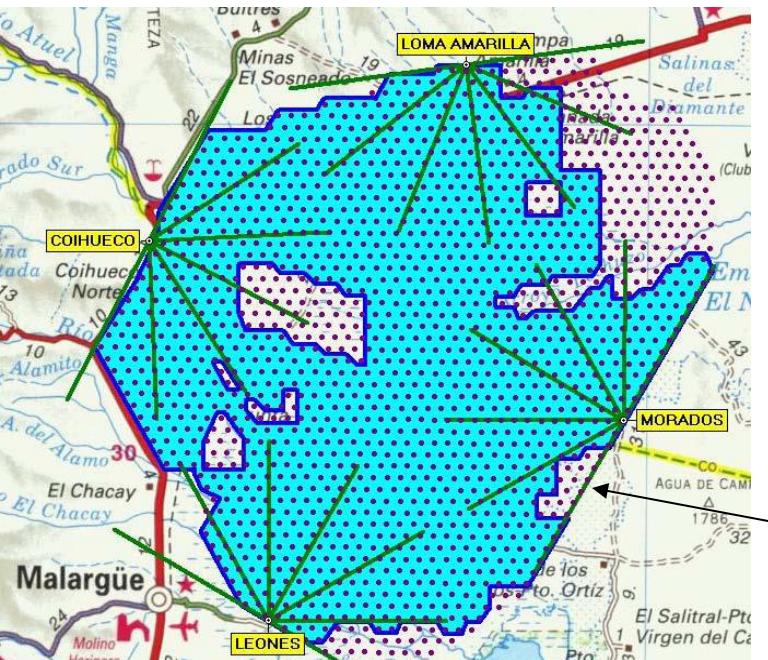
Solvay-Francqui Workshop on Neutrinos: from reactors to the cosmos  
May 2015

# The main driver of HE v astronomy: The origin of CRs



# UHE, $>10^{10}$ GeV, CRs

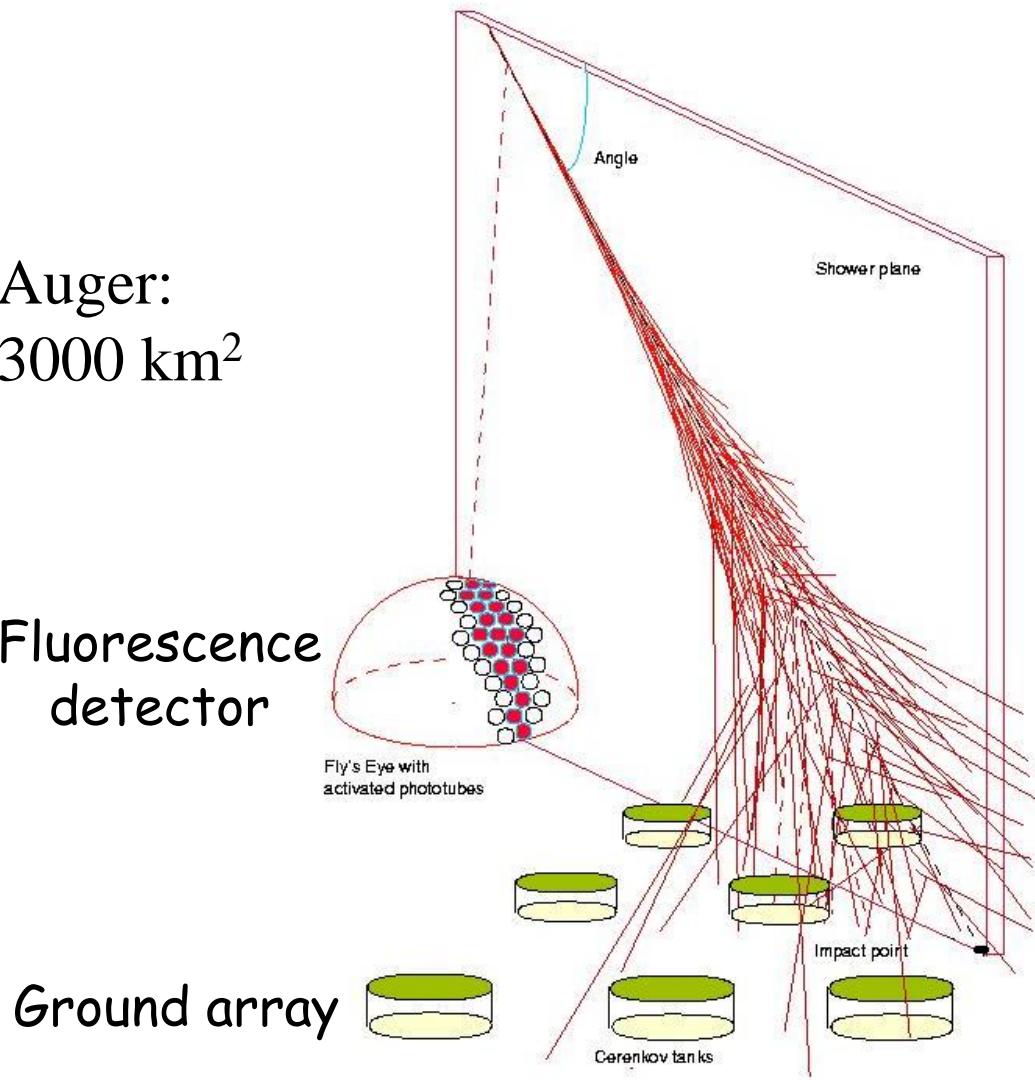
$$J(>10^{11}\text{GeV}) \sim 1 / 100 \text{ km}^2 \text{ year } 2\pi \text{ sr}$$



Auger:  
3000 km<sup>2</sup>

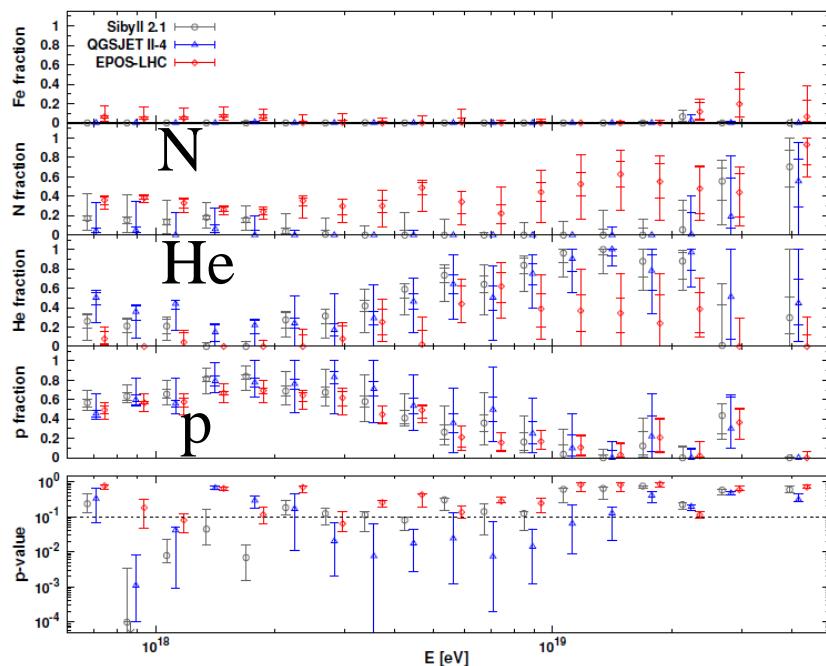
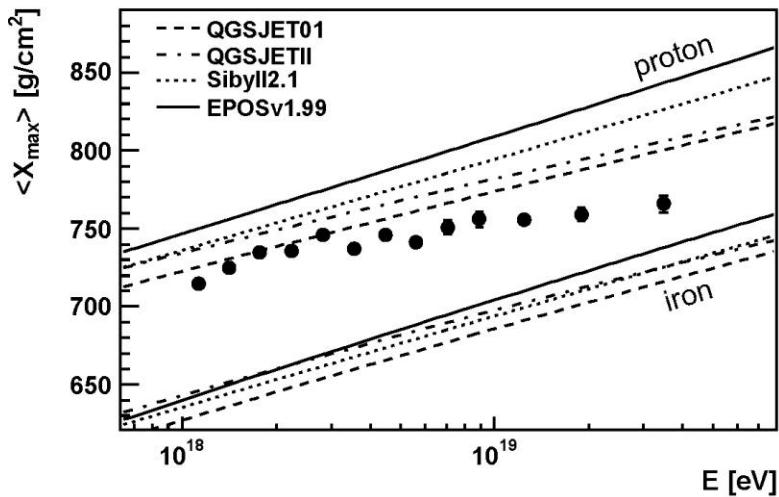
Fluorescence  
detector

Ground array

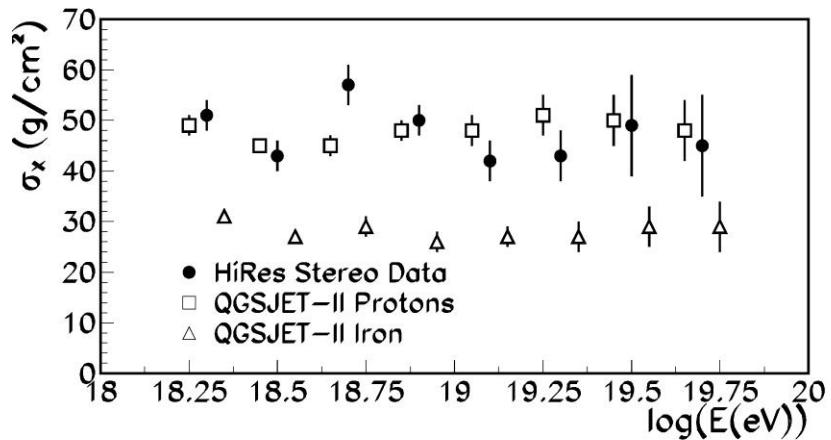
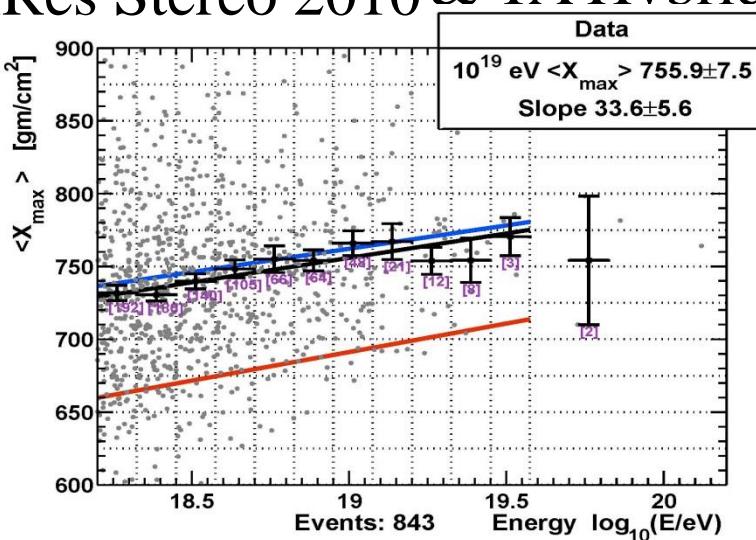


# UHE: Composition

Auger 2010: Fe, 2015: He(??)



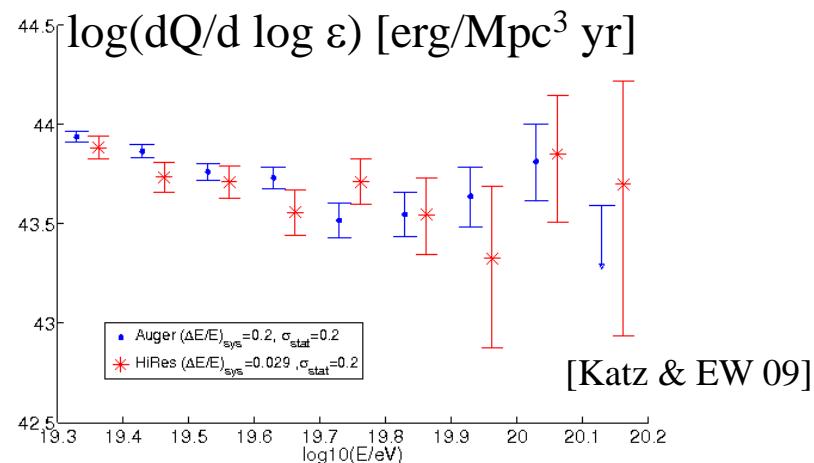
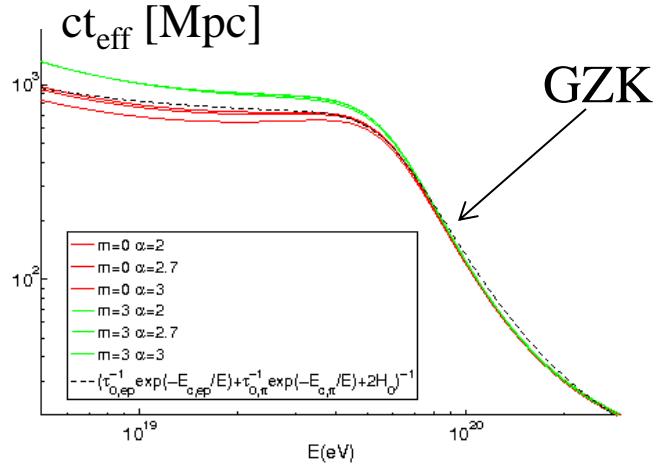
HiRes Stereo 2010 & TA Hybrid 2015



# UHE: Energy production rate & spectrum

Protons

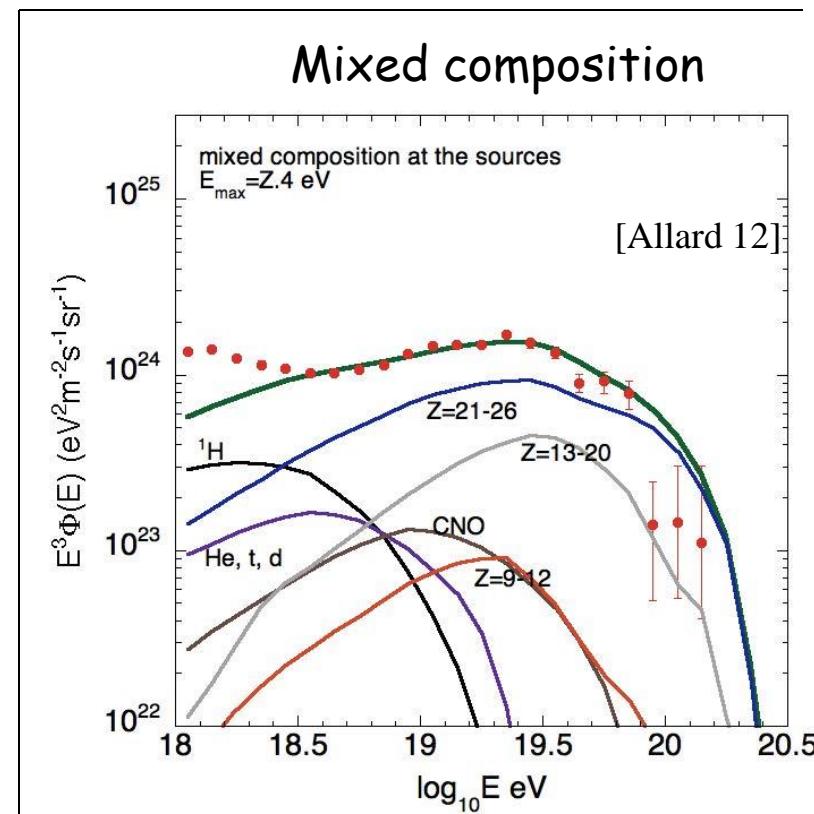
$$4\pi j = c Q t$$



$$dQ/d\log \epsilon \equiv \epsilon_p^2 d\dot{n}_p / d\epsilon_p = \text{Const.}$$

$$= (0.5 \pm 0.2) \times 10^{44} \text{ erg}/\text{Mpc}^3 \text{yr}$$

- $dQ/d \log E = \text{Const.}$ :
  - Observed in a wide range of systems,
  - Obtained in collision-less shock acceleration (the only predictive model of particle acceleration).



# Intermediate energy: Neutrinos

- $p + \gamma \rightarrow N + \pi$   
 $\pi^0 \rightarrow 2\gamma ; \pi^+ \rightarrow e^+ + \nu_e + \nu_\mu + \bar{\nu}_\mu ; \varepsilon_\nu / \varepsilon_p \sim 0.05$

→ Identify UHECR sources,  
Study BH accretion/acceleration physics.

- For all known sources,  $\tau_{\gamma p} <= 1$ :

$$\varepsilon_\nu^2 \frac{dj_\nu}{d\varepsilon_\nu} \leq \Phi_{WB} \equiv \frac{3}{8} \frac{ct_H}{4\pi} \zeta \frac{dQ_p}{d\log \varepsilon} = 2.5 \times 10^{-8} \zeta \left( \frac{dQ / d\log \varepsilon}{10^{44} \text{erg/Mpc}^3 \text{yr}} \right) \frac{\text{GeV}}{\text{cm}^2 \text{s sr}}$$

$$\zeta = 0.6, 3 \quad \text{for} \quad f(z) = 1, (1+z)^3$$

[EW & Bahcall 99;  
Bahcall & EW 01]

- If X-G p's:  $\varepsilon_\nu^2 \frac{dj_\nu}{d\varepsilon_\nu} (10^{19} \text{eV}) = \Phi_{WB}$

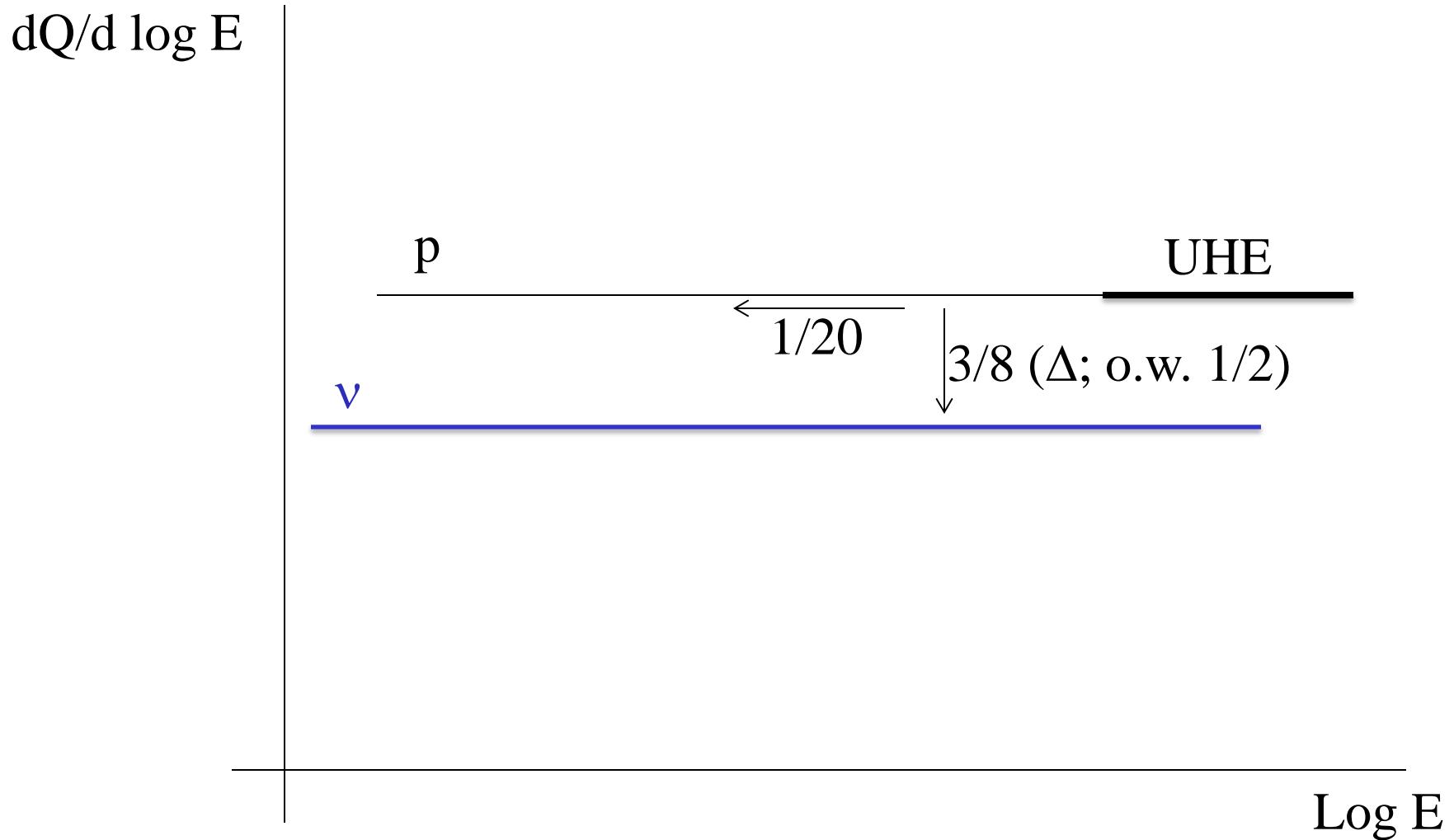
[Berezinsky & Zatsepin 69]

→ Identify primaries, determine  $f(z)$

# $\pi$ production: p/A-p/ $\gamma$

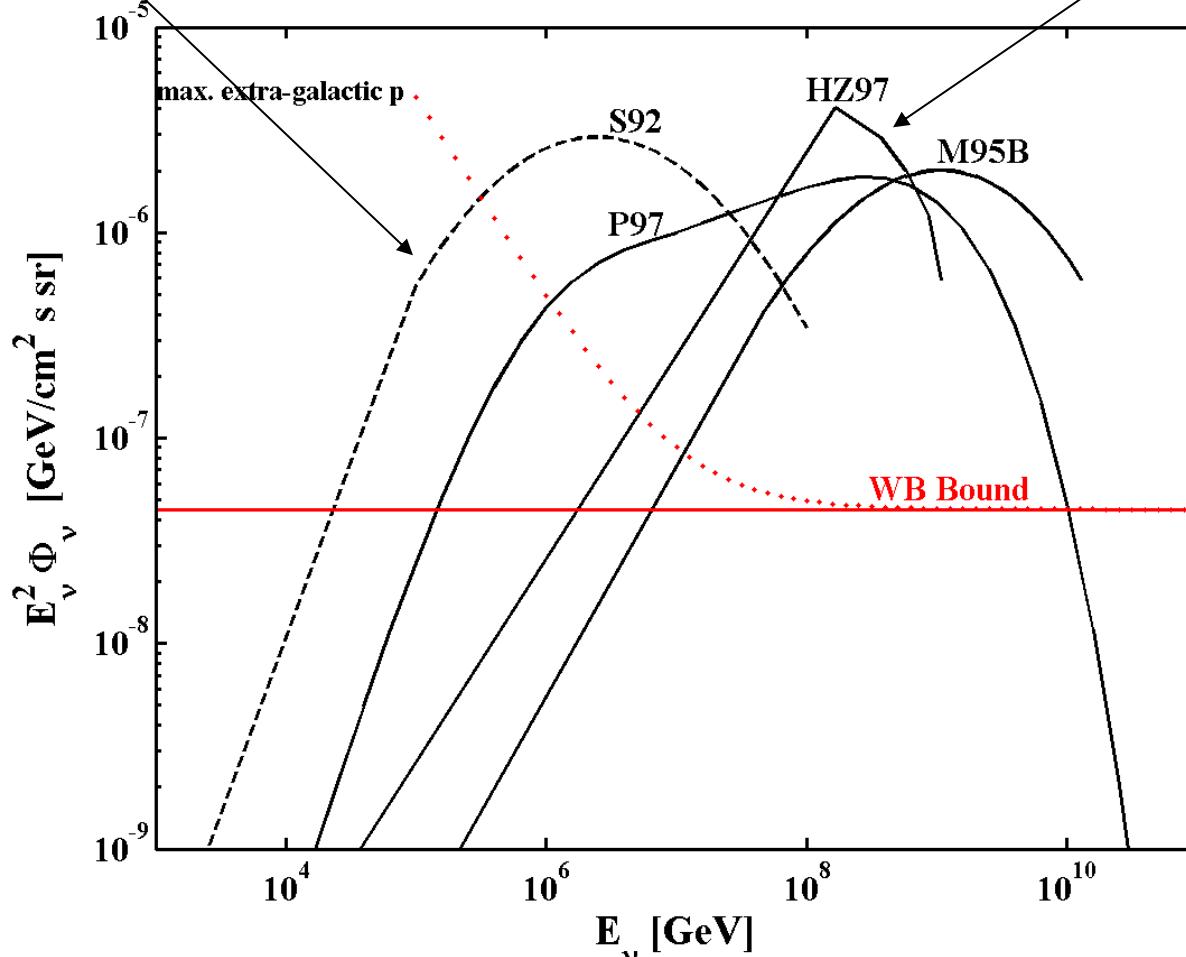
- $\pi$  decay  $\rightarrow \nu_e:\nu_\mu:\nu_\tau = 1:2:0$  (propagation)  $\rightarrow \nu_e:\nu_\mu:\nu_\tau = 1:1:1$
- p(A)-p:  $\varepsilon_\nu/\varepsilon_p \sim 1/(2 \times 3 \times 4) \sim 0.04$  ( $\varepsilon_p \rightarrow \varepsilon_A/A$ ):
  - IR photo dissociation of A does not modify  $\Gamma$ ;
  - Comparable particle/anti-particle content.
- p(A)- $\gamma$ :  $\varepsilon_\nu/\varepsilon_p \sim (0.1 - 0.5) \times (1/4) \sim 0.05$ ;
  - Requires intense radiation at  $\varepsilon_\gamma > A$  keV;
  - Comparable particle/anti-particle content,
  - $\nu_e$  excess if dominated by  $\Delta$  resonance ( $d\log n_\gamma/d\log \varepsilon_\gamma < -1$ ).

# WB bound: p and ν production



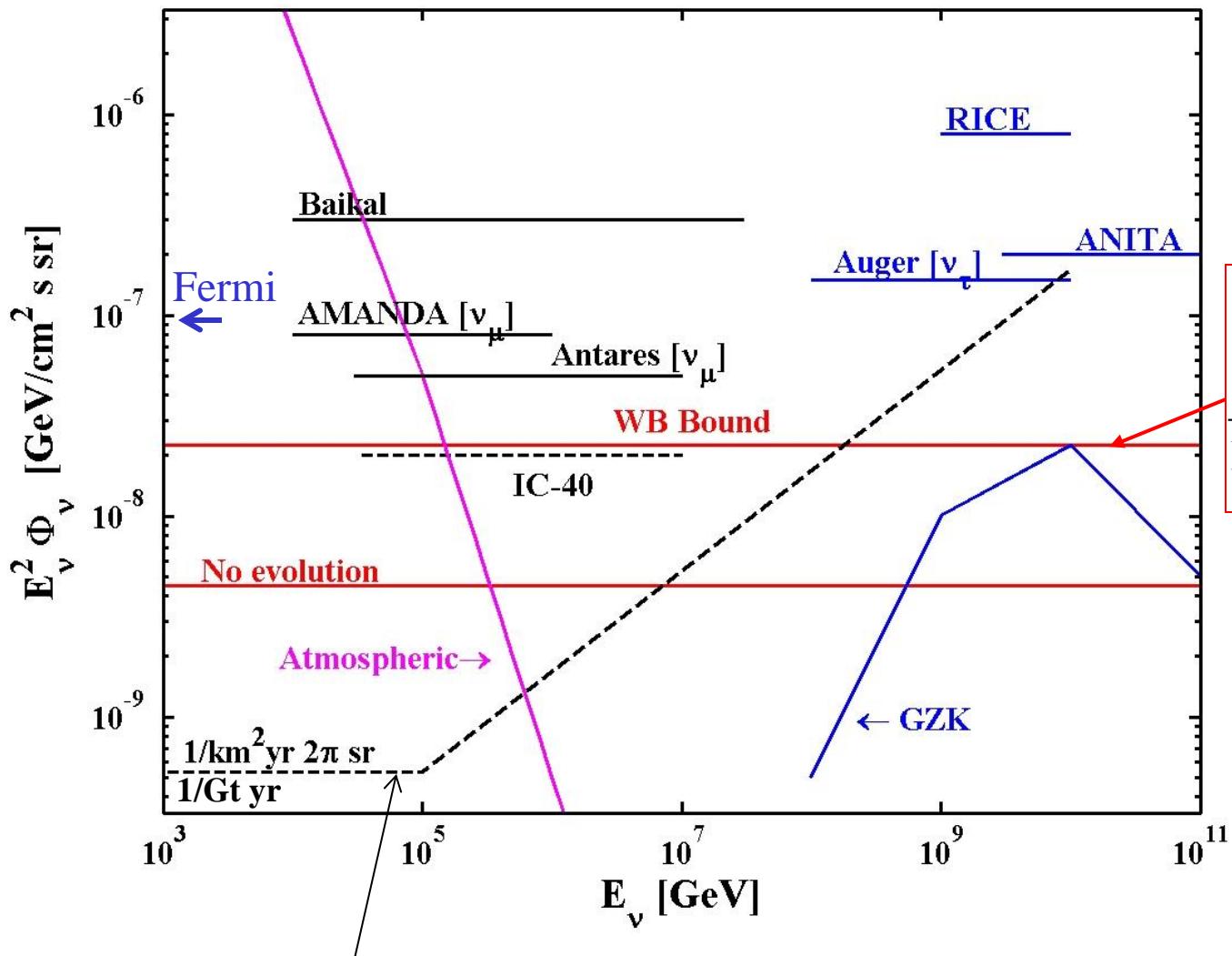
“Hidden” ( $\nu$  only)  
sources

Violating UHECR  
bound



[M95: Mannheim 1995  
P97: Protheroe 1997  
HZ97: Halzen & Zas 1997]

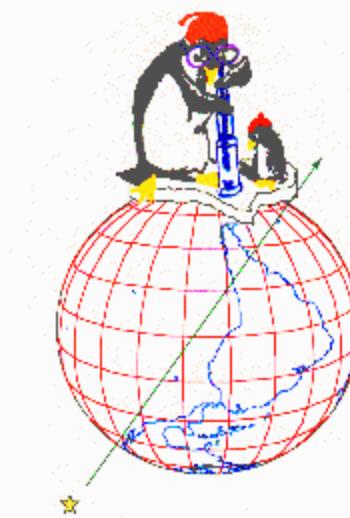
# Bound implications: >1Gton detector (natural, transparent)



2 flavors,  
 $\frac{dQ}{d\log \varepsilon} = 0.5$   
 $10^{44} \text{ erg/Mpc}^3 \text{yr}$

Rate  $\sim (E\Phi)N_n\sigma(E)$ ,  $\sigma \sim E \rightarrow$  Rate  $\sim (E^2\Phi)M$

# AMANDA & IceCube



Depth  
surface

## IceCube

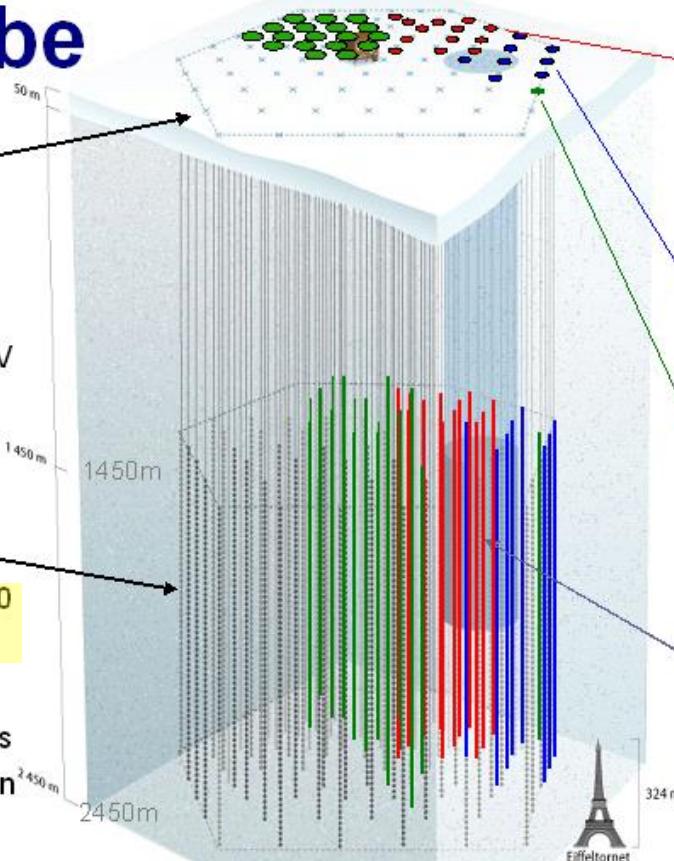
### IceTop

Air shower detector  
80 pairs of ice  
Cherenkov tanks  
Threshold  $\sim 300$  TeV

### InIce

Goal of 80 strings of 60 optical modules each

17 m between modules  
125 m string separation



2006-2007:  
13 strings deployed

2007-2008  
18 strings deployed

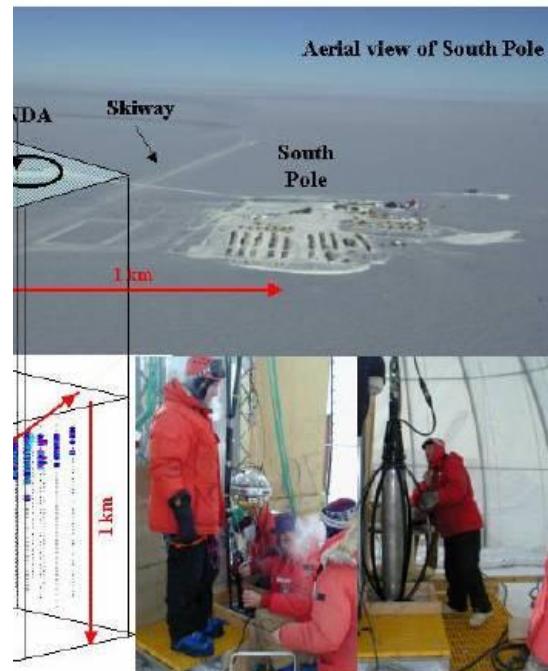
2005-2006: 8 strings

2004-2005 : 1 string

AMANDA-II  
19 strings  
677 modules

Current configuration:  
40 strings,  
40 IceTop stations  
plus AMANDA

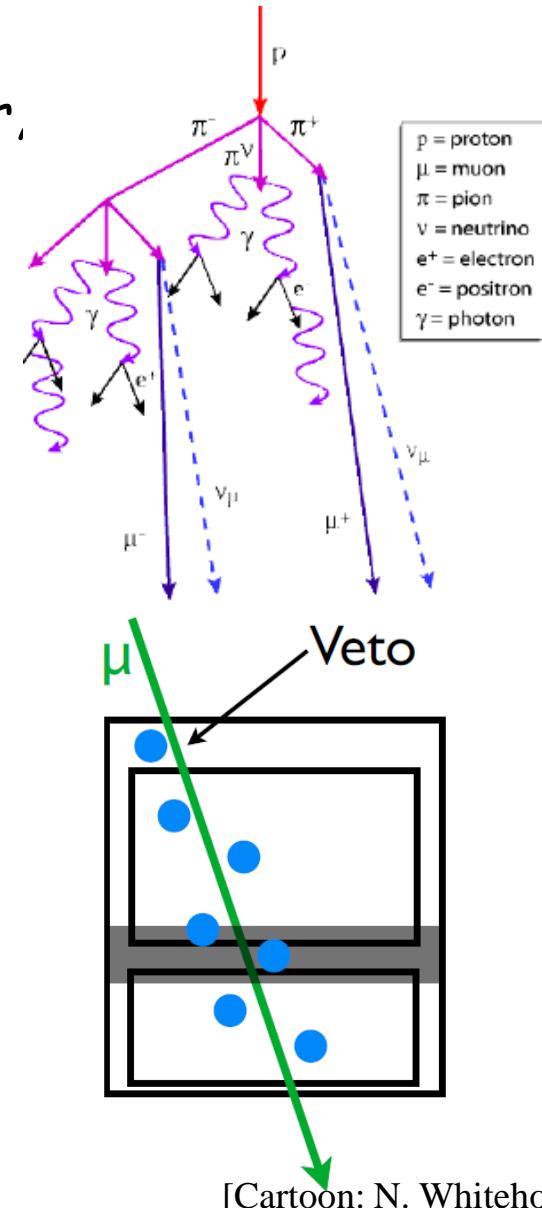
Completed Dec 2010



# Looking up: Vetoing atmospheric neutrinos

[Schoenert, Gaisser et. al 2009]

- Look for: Events starting within the detector, not accompanied by shower muons.
- Sensitive to all flavors (for 1:1:1  $\nu_\mu$  induced  $\mu \sim 20\%$ ).
- Observe  $4\pi$ .
- Rule out atmospheric charmed meson decay excess:  
Anisotropy due to downward events removal (vs isotropic astrophysical intensity).

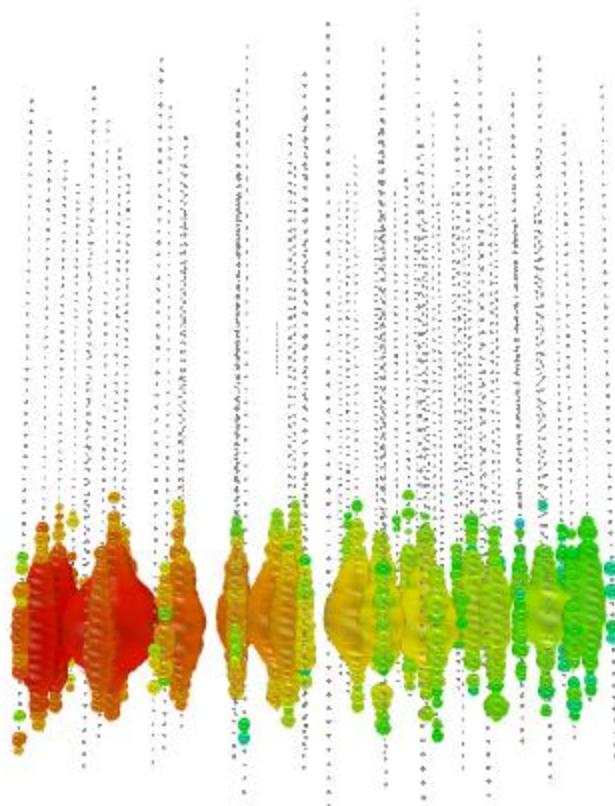




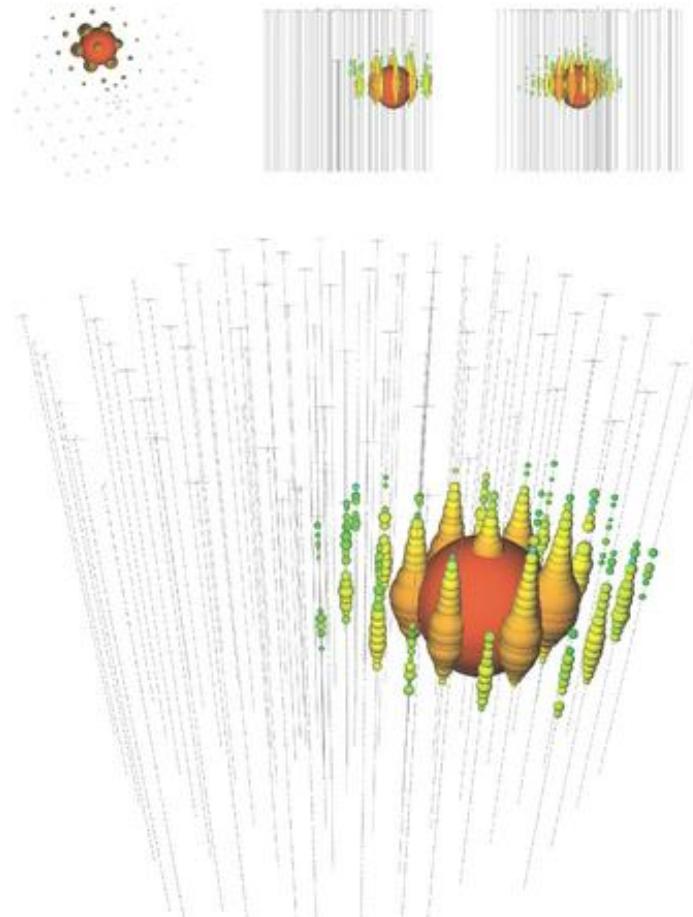
Event 20

Date: 3-Jan-12

Energy: 1140.8 TeV Topology: Shower



400TeV

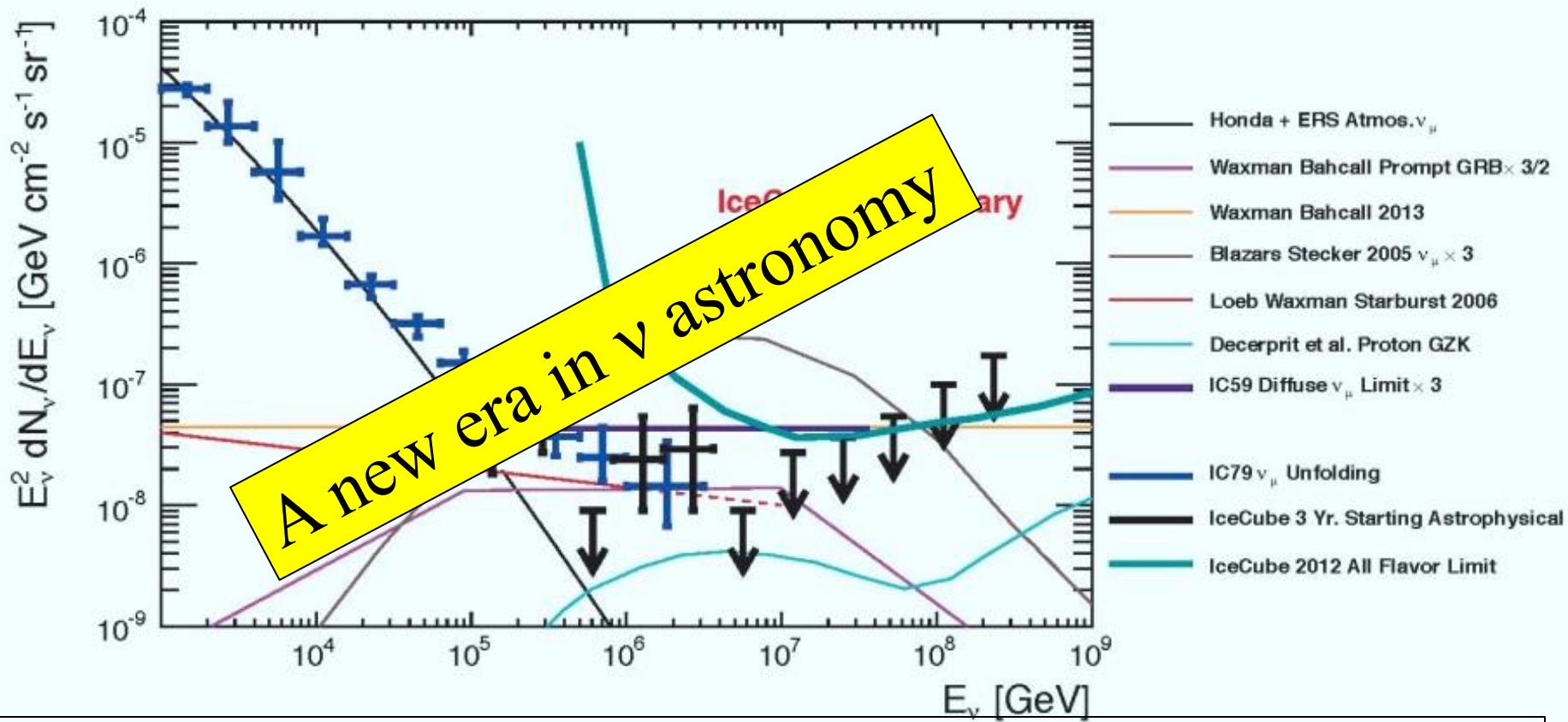


1100TeV



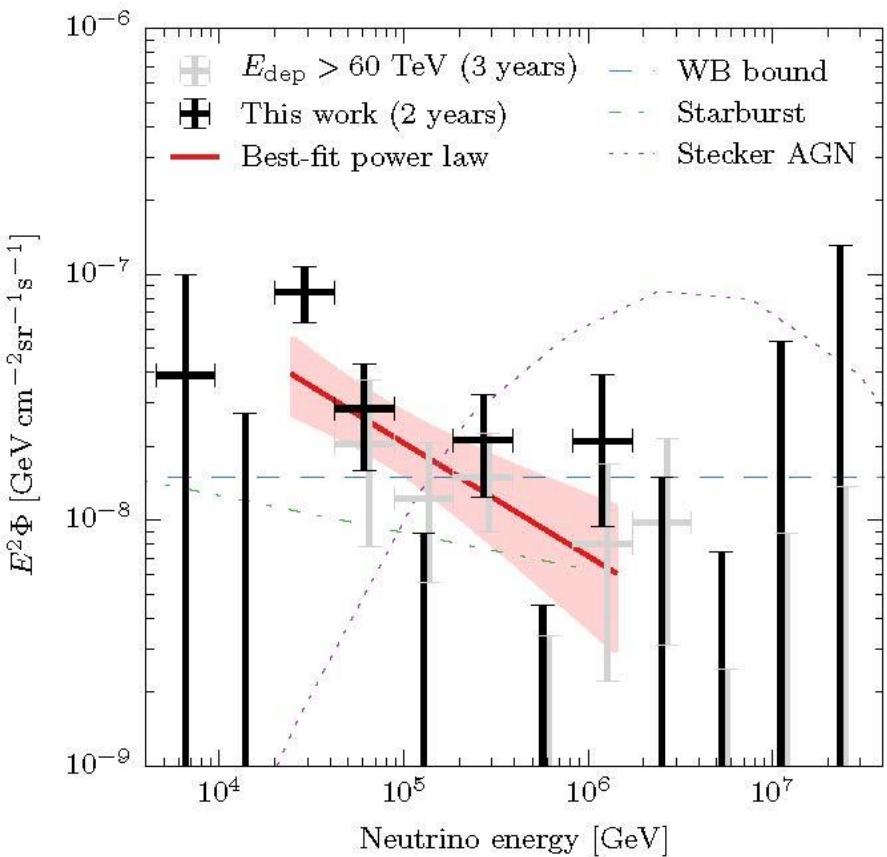
# IceCube: 37 events at 50Tev-2PeV ~6 $\sigma$ above atmo. bgnd.

[02Sep14 PRL]



$\varepsilon^2 \Phi_\nu = (2.85 \pm 0.9) \times 10^{-8} \text{ GeV/cm}^2 \text{sr s} = \varepsilon^2 \Phi_{WB} = 3.4 \times 10^{-8} \text{ GeV/cm}^2 \text{sr s}$  (2PeV cutoff?)  
Consistent with Isotropy and  
with  $\nu_e : \nu_\mu : \nu_\tau = 1:1:1$  ( $\pi$  decay + cosmological prop.).

## Lower energy: a ~30 TeV 'excess'?



- Excess at ~30 TeV point →  $d \log n_\nu / d \log \varepsilon = -2.46 \pm 0.12$ ; softer than 2.2 at 90% cl.
- >50 TeV spectrum  $d \log n_\nu / d \log \varepsilon = -2 (-1.9 \pm 0.2)$
- A new low E component?
- Note:
  - Binning,
  - Southern hemisphere only,  
(- Fermi XG  $\gamma$  bgnd limit).

# IceCube's detection: Implications

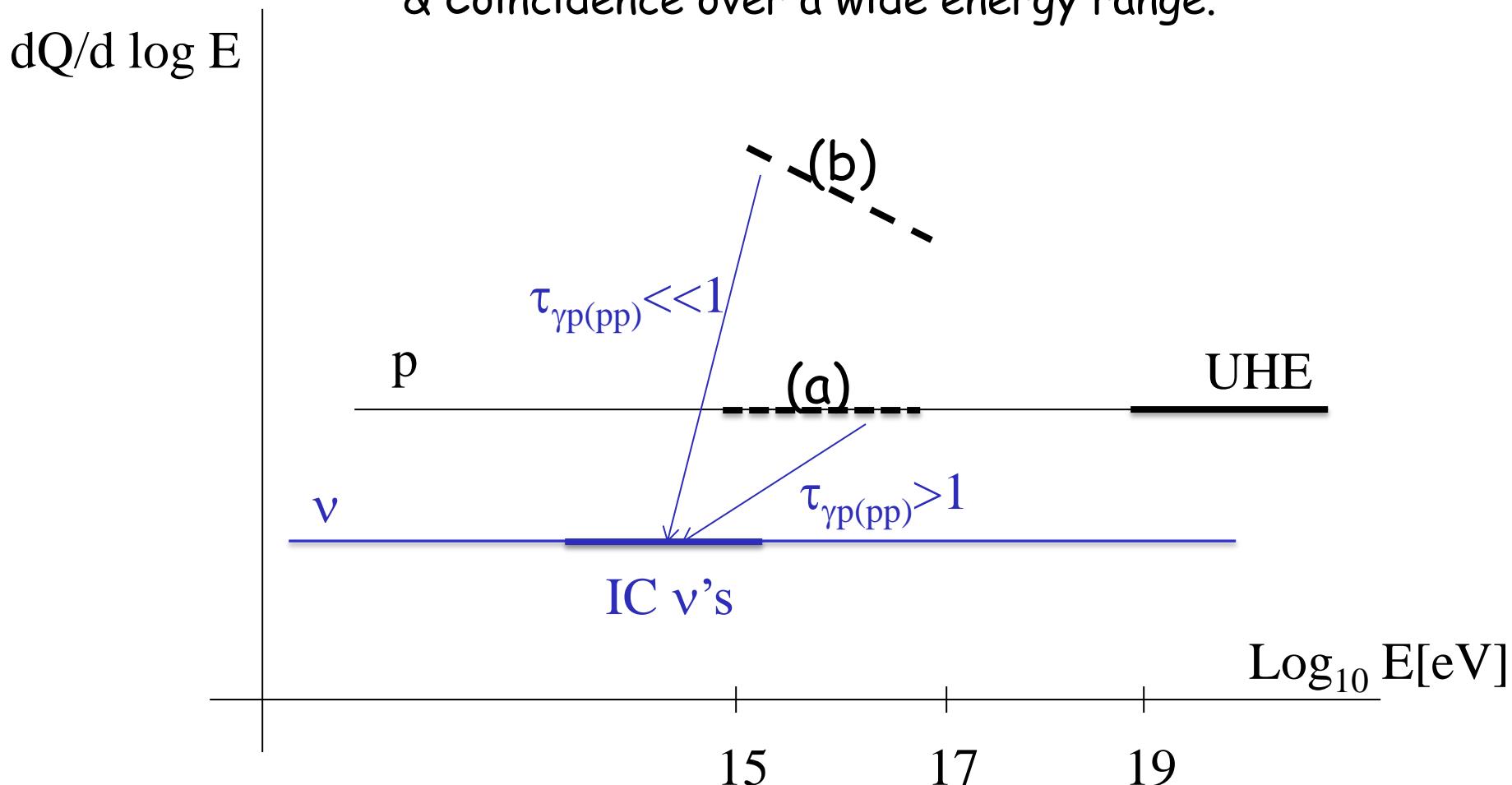
- DM decay?

The coincidence of  $50\text{TeV} < E < 2\text{PeV}$   $\nu$  flux, spectrum (& flavor) with the WB bound is unlikely a chance coincidence.
- Unlikely Galactic: Isotropy,  
and  $\varepsilon^2 \Phi_\gamma \sim 10^{-7} (E_{0.1\text{TeV}})^{-0.7} \text{GeV/cm}^2\text{s sr}$  [Fermi]  
 $\rightarrow \varepsilon^2 \Phi_\nu \sim 10^{-9} (E_{0.1\text{PeV}})^{-0.7} \text{GeV/cm}^2\text{s sr} \ll \Phi_{\text{WB}}$   
If Galactic: New, unknown sources; Chance coincidence with WB.
- $\rightarrow XG$  sources.
- Recall: known UHECR sources cannot account for IC's flux ( $\tau_{\gamma p(pp)} < 1$ )  
[e.g. Murase et al. 2014].

# IceCube's detection: XG CR pion production

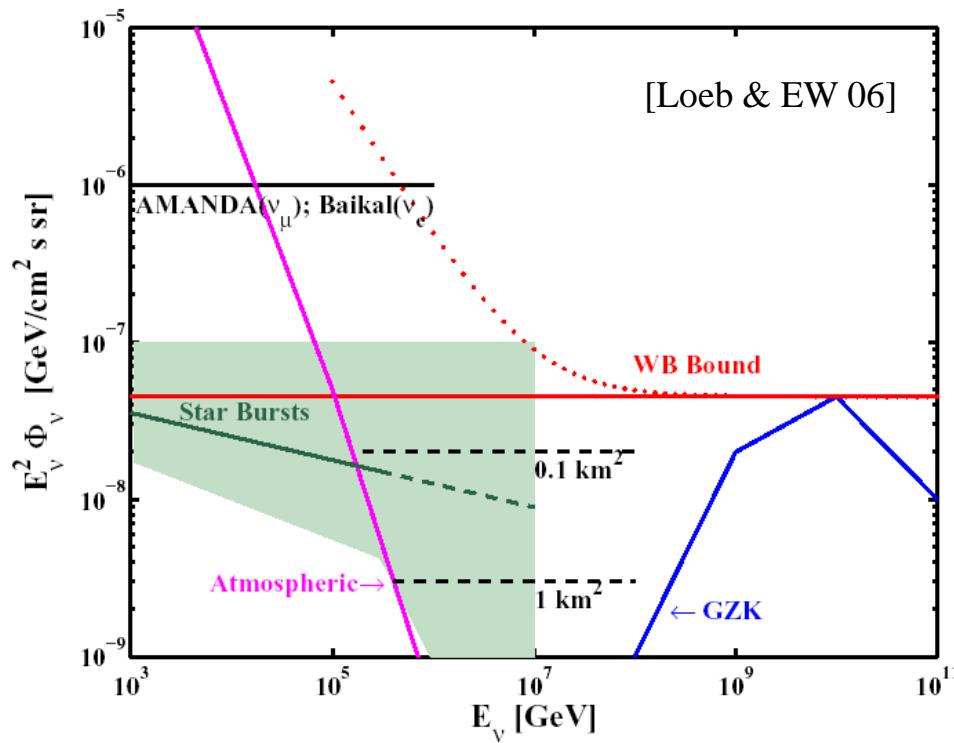
(a) UHE CR sources reside in ( $<10^{17}$ eV) "Calorimeters",  
or

(b)  $Q \gg Q_{\text{UHE}}$  sources (unknown) with  $\tau_{\gamma p(pp)} \ll 1$  (ad-hoc)  
& Coincidence over a wide energy range.



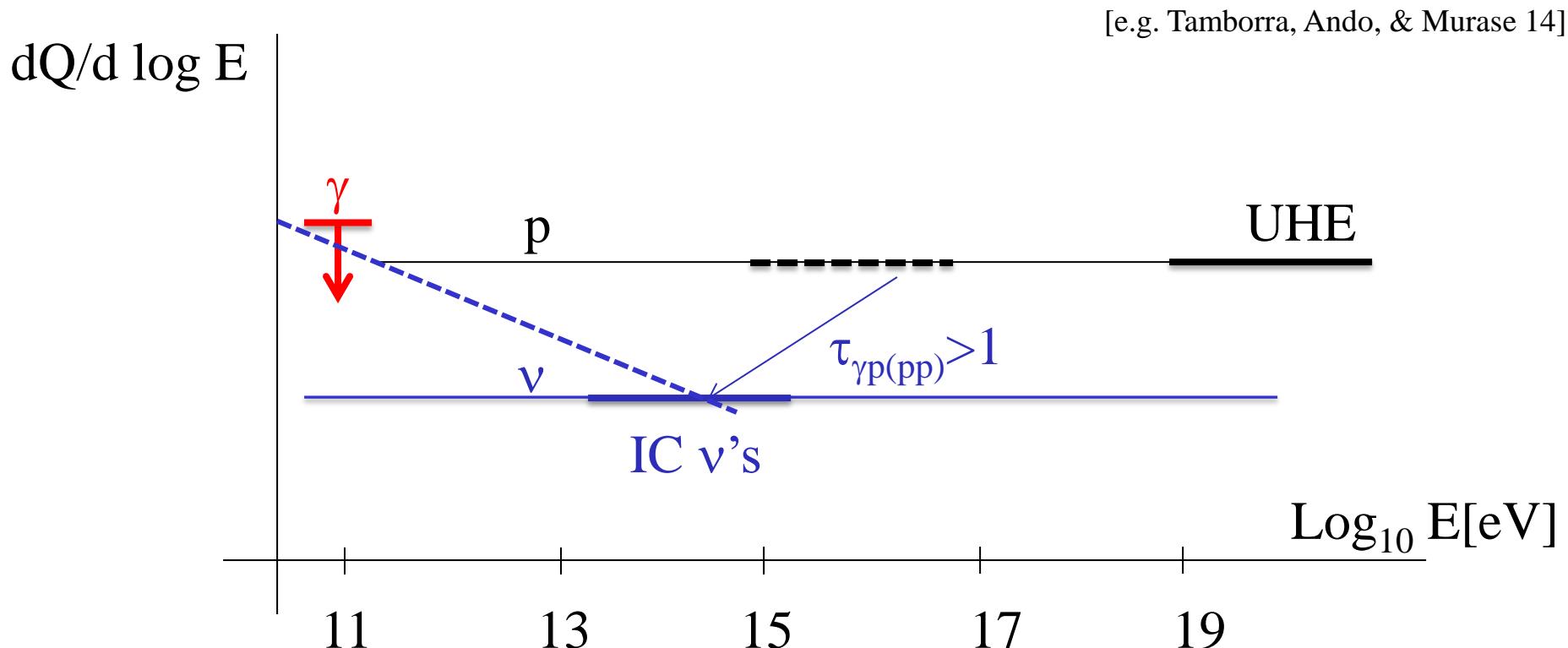
# Candidate CR calorimeters: Starburst galaxies

- Radio, IR &  $\gamma$ -ray (GeV-TeV) observations  
→ Starbursts are calorimeters for E/Z reaching (at least) 10PeV.
- Most of the stars in the universe were formed in Starbursts.
- If:
  - CR sources reside in galaxies and
  - $Q \sim \text{Star Formation Rate (SFR)}$ ,
- Then:
$$\Phi_\nu(\varepsilon_\nu < 1\text{PeV}) \sim \Phi_{WB} .$$
- (And also a significant fraction of the  $\gamma$ -bgnd).



# A note on Fermi's XG diffuse $\gamma$ -ray upper limit

- Fermi diffuse XG:  $\varepsilon^2 \Phi_\gamma(0.1\text{TeV}) < \sim 10^{-7} \text{ GeV/cm}^2\text{s sr.}$
- IceCube diffuse XG:  $\varepsilon^2 \Phi_\nu(100\text{TeV}) \sim 0.3 \times 10^{-7} \text{ GeV/cm}^2\text{s sr.}$
- Flat proton generation spectrum,  $d \log n / d \log \varepsilon > -2.2$ ,  
with significant contribution to the diffuse XG  $\gamma$ -bgnd.



# IceCube's detection: XG CR pion production

- (a) UHE CR sources reside in ( $<10^{17}$ eV) "Calorimeters": Starbursts.  
Implications:

$G$ -XG transition @  $10^{19}$ eV;

The ( $G$ )  $>10^{6.5}$ eV flux is suppressed due to propagation.

or

- (b)  $Q \gg Q_{\text{UHE}}$  sources (unknown) with  $\tau_{\gamma p(pp)} \ll 1$  (ad hoc, fine tuning)  
& Coincidence over a wide energy range:

- AGN jets in Galaxy clusters,  
 $dQ/d\log \varepsilon \sim 10^{47} \text{erg/Mpc}^3\text{yr}$ ,  $\tau_{pp} \sim 10^{-2}$
- Low L GRBs;

[Murase, Inoue & Nagataki 2008]

.

.

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# Low Energy, $\sim 10\text{GeV}$

$$\frac{dQ}{d\log \varepsilon} \approx \frac{(dQ/d\log \varepsilon)_{\text{Galaxy}}}{(SFR)_{\text{Galaxy}}} \times < SFR/V >_{z=0}$$

- Our Galaxy- using “grammage”, local SN rate

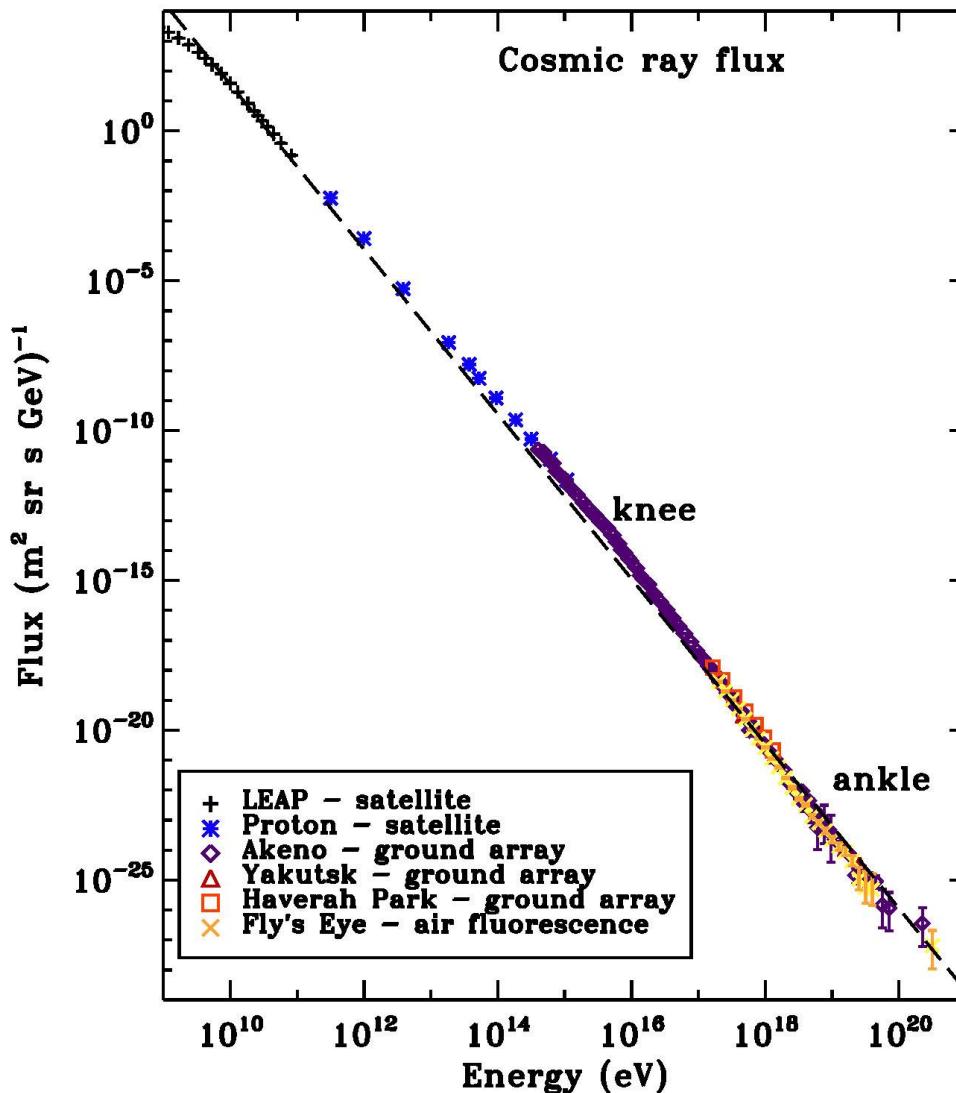
$$\frac{dQ}{d\log \varepsilon} \sim [3--15] \times 10^{44} \left( \frac{\varepsilon}{10Z \text{ GeV}} \right)^{-\delta} \text{erg / Mpc}^3 \text{yr}, \quad \delta \approx 0.1 - 0.2$$

- Starbursts- using radio to  $\gamma$  observations

$$\frac{dQ}{d\log \varepsilon} (\varepsilon \sim 10\text{GeV}, z = 0) \approx 5 \left( \frac{0.3}{f_{\text{synch.}}} \right) \times 10^{44} \text{erg / Mpc}^3 \text{yr}$$

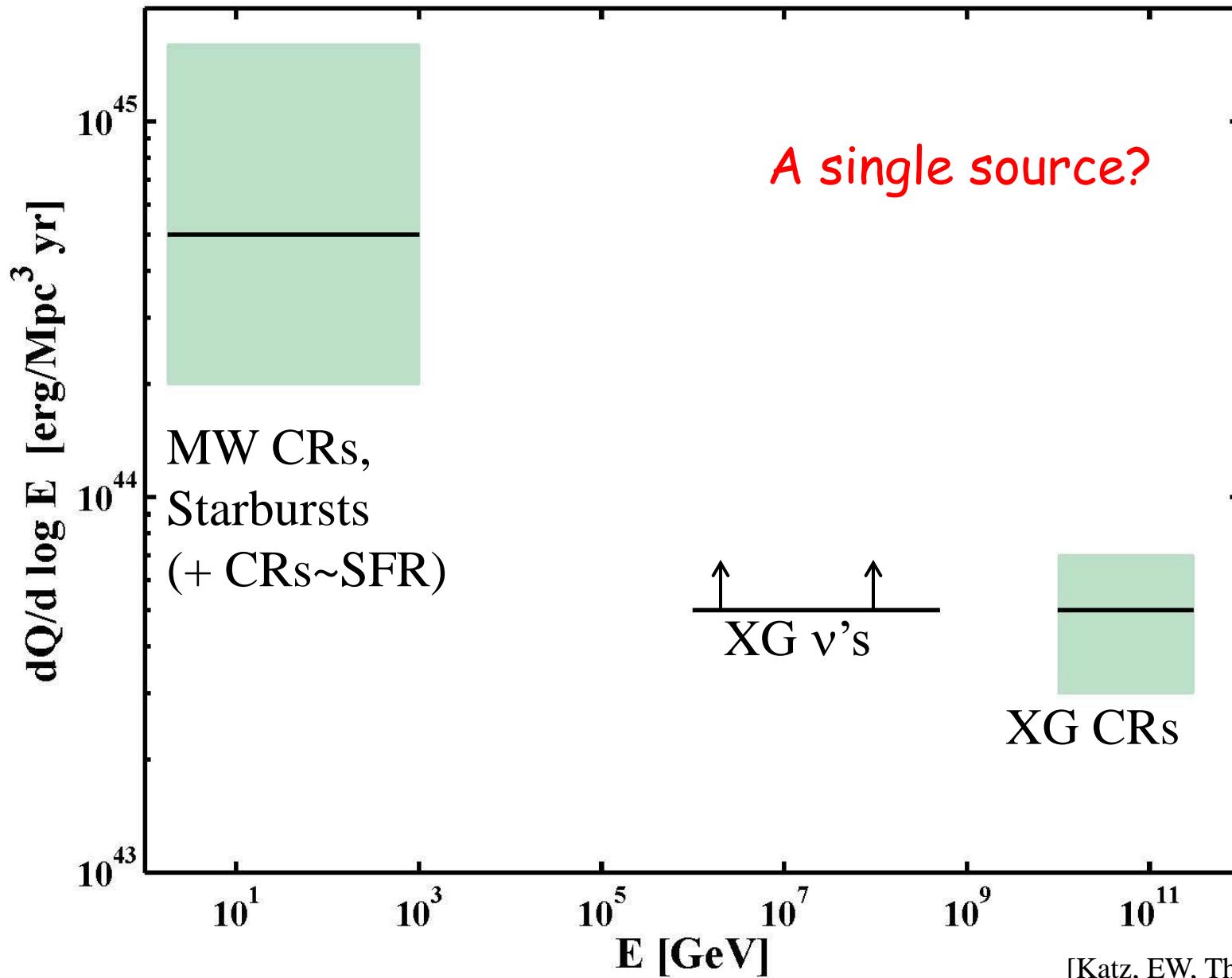
→ Q/SFR similar for different galaxy types,  
 $dQ/d\log \varepsilon \sim \text{Const. at all } \varepsilon!$

# The cosmic ray spectrum



[From Helder et al., SSR 12]

# The cosmic ray generation spectrum



# Constraints on source density

$$n_s L_{\nu_\mu} \approx 0.6 \times 10^{43} \left( \frac{\zeta}{3} \right)^{-1} \text{erg/Mpc}^3 \text{yr} \Rightarrow L_{\nu_\mu} \approx 2 \times 10^{42} \left( \frac{\zeta}{3} \frac{n_s}{10^{-7} \text{Mpc}^{-3}} \right)^{-1} \text{erg/s}$$

$$f_{\text{lim}} \approx \frac{E_\nu}{AtP_{\nu\mu}} \approx 10^{-12} \text{ erg/cm}^2 \text{s} \Rightarrow d_{\text{lim}} \equiv \left( \frac{L_{\nu_\mu}}{4\pi f_{\text{lim}} / 2.4} \right)^{1/2} \approx 150 \left( \frac{\zeta}{3} \frac{n_s}{10^{-7} \text{Mpc}^{-3}} \right)^{-1/2} \text{Mpc}$$

$$N_s (\text{multiple } \nu_\mu \text{ events}) = \frac{2\pi}{3} n_s d_{\text{lim}}^3 \approx 1 \left( \frac{\zeta}{3} \right)^{-3/2} \left( \frac{n_s}{10^{-7} \text{Mpc}^{-3}} \right)^{-1/2}$$

- The absence of multiple- $\nu_\mu$ -event sources implies:

$$n_s > 10^{-7} (\zeta/3)^{-3} / \text{Mpc}^3, \quad N_s > 10^6, \quad \frac{N_s}{4\pi} > 30 / \text{deg}^2, \quad L_\nu < 3 \times 10^{42} \text{erg/s}$$

## Implications:

- Source identification by angular correlation unlikely  
( $d\Theta \sim 0.5 \text{deg}$ ,  $N_\nu(z < 0.1) / N_\nu \sim 1/20$ ).
- Bright AGN (FSRQ, BL Lac,  $n \sim 10^{-11}(10^{-8}) / \text{Mpc}^3$ ) - Ruled out.
- Starbursts,  $n \sim 10^{-5} / \text{Mpc}^3$  - a few should be detected with  $A \propto 10$ .

# Identifying the CR sources

- IC's  $\nu$ 's are produced by the "calorimeters" surrounding the sources.
- $\Delta\Theta \sim 1\text{deg} \rightarrow$  Identification by angular distribution impossible.
- Our only (realistic) hope:  
Identification of transient sources by temporal  $\nu-\gamma$  association.
- \* UHE CR source must be transient:  
 $L > 10^{47}\text{erg/s}$ , GRBs or bright (yet to be detected) AGN flares.
- Requires:  
Wide field EM monitoring,  
Real time alerts for follow-up of high E  $\nu$  events,  
and  
Significant increase of the  $\nu$  detector mass at  $\sim 100\text{TeV}$   
[ $\Phi_\nu(\text{source})$  may be  $\ll \Phi_\nu(\text{calorimeter}) \sim \Phi_{WB}$  [ e.g.  $\Phi_\nu(\text{GRB}) \sim 0.1 \Phi_{WB}$  ]].

# Source candidates & physics challenges

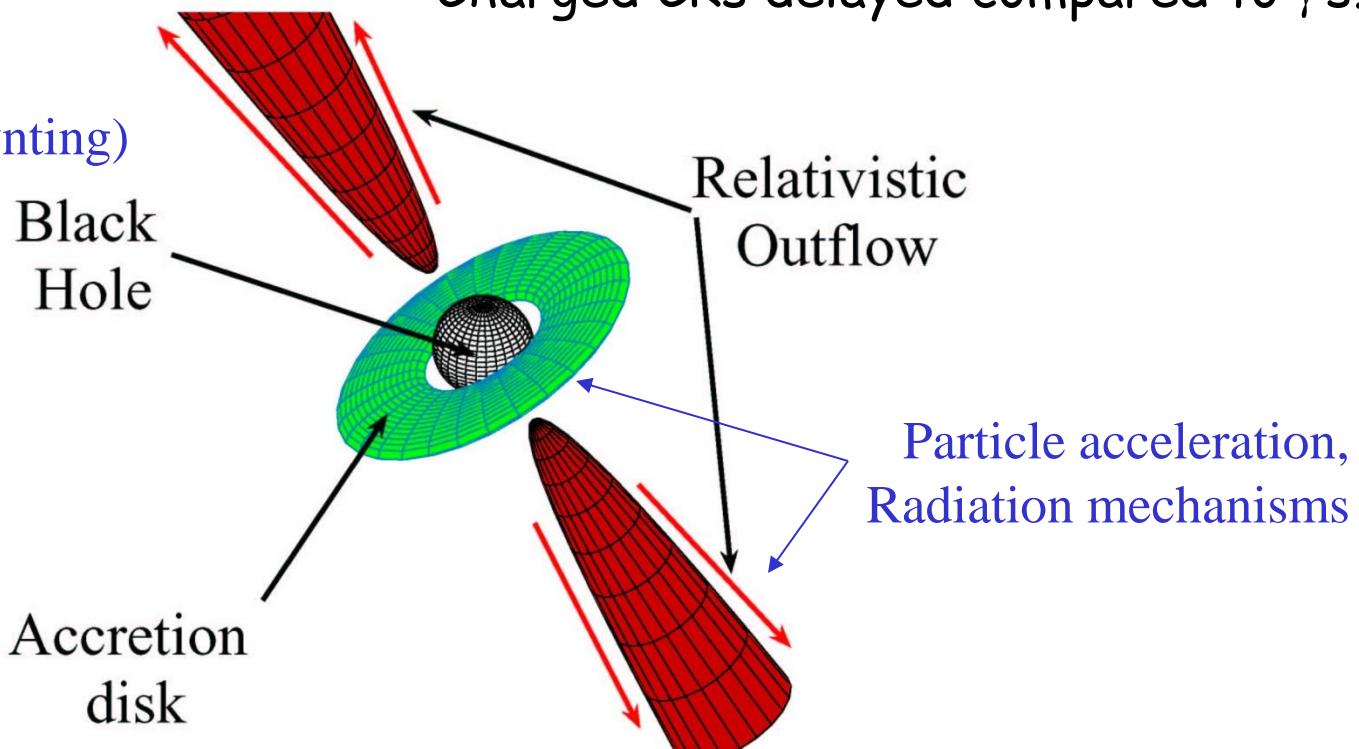
- Electromagnetic acceleration in astrophysical sources requires

$$L > 10^{14} L_{\text{Sun}} (\Gamma^2/\beta) (\varepsilon/Z 10^{20} \text{eV})^2$$

[Lovelace 76; EW 95, 04; Norman et al. 95]

- GRB:  $10^{19} L_{\text{Sun}}$ ,  $M_{\text{BH}} \sim 1 M_{\text{sun}}$ ,  $\dot{M} \sim 1 M_{\text{sun}}/\text{s}$ ,  $\Gamma \sim 10^{2.5}$
- AGN:  $10^{14} L_{\text{Sun}}$ ,  $M_{\text{BH}} \sim 10^9 M_{\text{sun}}$ ,  $\dot{M} \sim 1 M_{\text{sun}}/\text{yr}$ ,  $\Gamma \sim 10^1$

- No steady sources at  $d < d_{GZK}$   $\rightarrow$  Transient Sources (AGN flares?),  
Energy extraction;  
Jet acceleration and  
content (kinetic/Poynting)

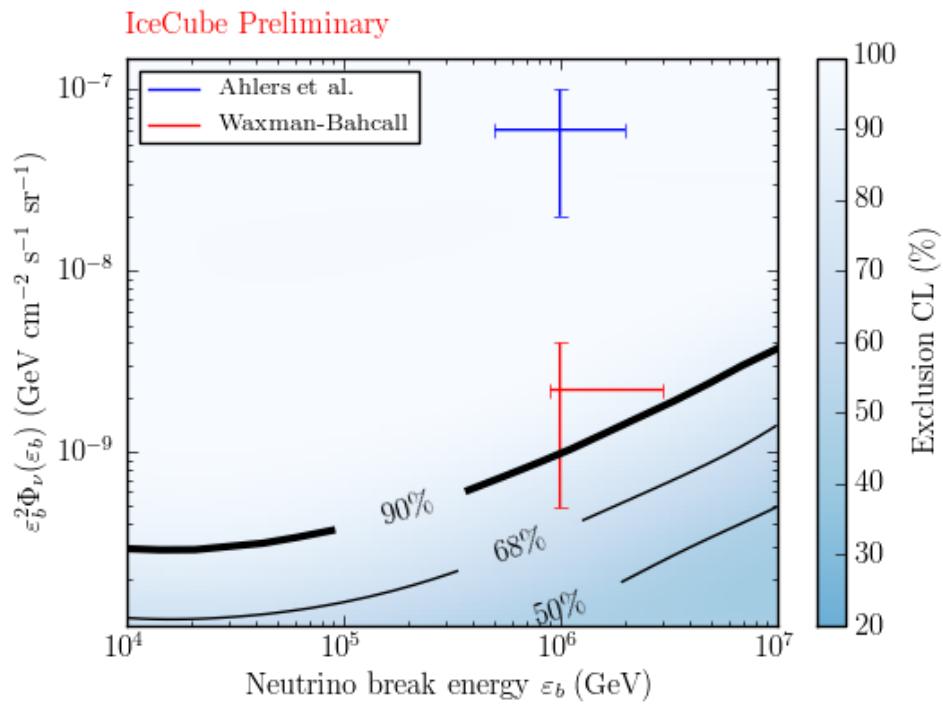
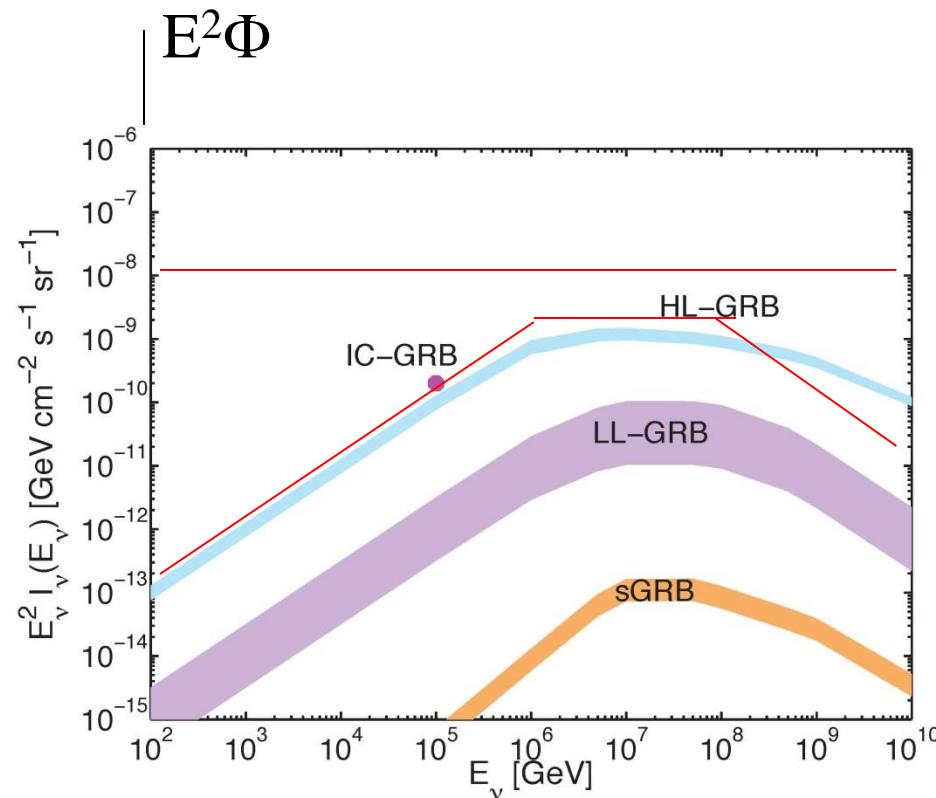


# A note on GRBs

$$\varepsilon_{\nu,b} = 500 \left( \frac{\varepsilon_{\gamma,b}}{1 \text{ MeV}} \right)^{-1} \Gamma_{2.5}^2 \text{ TeV} \approx 1 \text{ PeV}$$

$$\Phi_{\text{GRB}} \approx 0.2 \Phi_{\text{WB}} \times \min \left[ \frac{\varepsilon_\nu}{\varepsilon_{\nu,b}}, 1 \right]$$

[EW & Bahcall 97]



IC is achieving relevant sensitivity.

[Tamborra & Ando 15;  
Hummer, Baerwald, and Winter 12; Li 12; He et  
al 12 ...]

# What will we learn from $\nu$ - $\gamma$ associations?

- Identify the CR sources.

Resolve key open Qs in the accelerators' physics  
(BH jets, particle acceleration, collisionless shocks).

- Study fundamental/ $\nu$  physics:

-  $\pi$  decay  $\rightarrow \nu_e:\nu_\mu:\nu_\tau = 1:2:0$  (Osc.)  $\rightarrow \nu_e:\nu_\mu:\nu_\tau = 1:1:1$

$\rightarrow \tau$  appearance, [Learned & Pakvasa 95; EW & Bahcall 97]

- GRBs:  $\nu$ - $\gamma$  timing (10s over Hubble distance)

$\rightarrow$  LI to  $1:10^{16}$ ; WEP to  $1:10^6$ . [EW & Bahcall 97; Amelino-Camelia,et al.98;  
Coleman &.Glashow 99; Jacob & Piran 07]

- Optimistically (>100's of  $\nu$ 's with flavor identification):

Constrain  $\delta_{CP}$ , new phys.

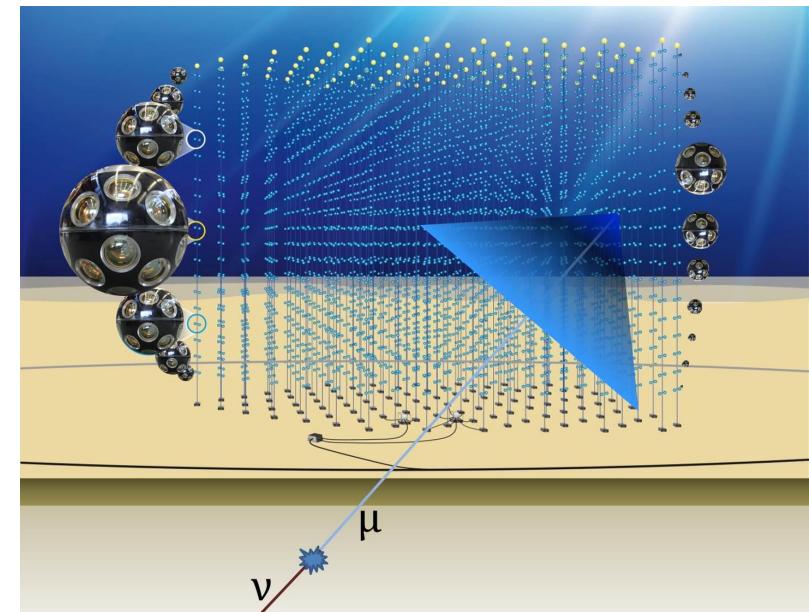
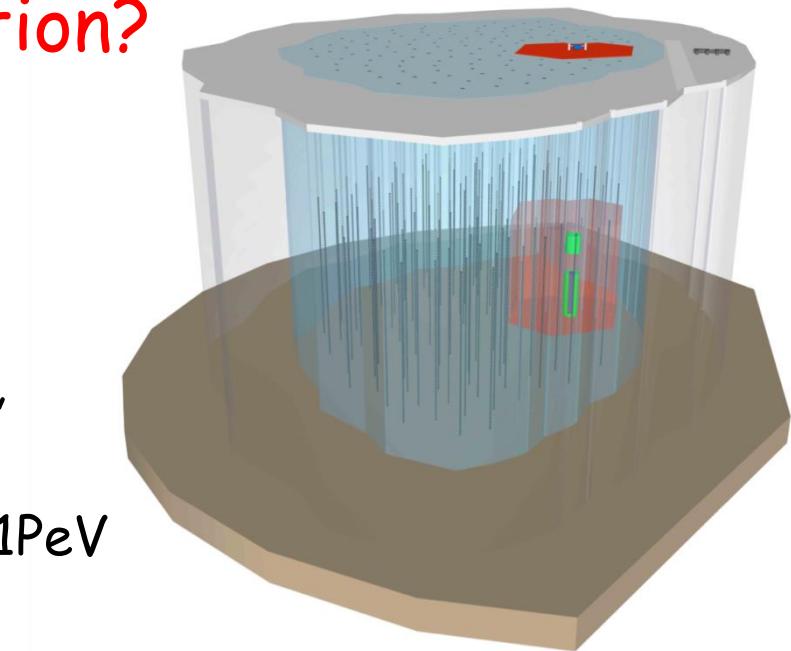
[Blum, Nir & EW 05; Winter 10; Pakvasa 10;  
... Ng & Beacom 14; Ioka & Murase 14;  
Ibe & Kaneta 14; Blum, Hook & Murase 14]

# Summary

- IceCube detects extra-Galactic  $\nu$ 's.  $\Phi_\nu = \Phi_{WB}$  at 50 TeV-2 PeV.
  - \* The flux is as high as could be hoped for.
  - \*  $\Phi_\nu = \Phi_{WB}$  implies a connection with UHECRs.
  - \* Explained if UHECR sources reside in "calorimeters"- starbursts, implying a single transient source for all  $>1\text{PeV} (>1\text{GeV?})$  CRs.
  - \* Strongly suggests UHECRs are p, G/XG transition at  $10^{19}\text{eV}$ .  
→ Closing in on the origin of Cosmic-Rays.
- Open Questions:
  - \* Uncertainties in  $\nu$  flux, spectrum, isotropy, flavor ratio.
  - \* The CR/ $\nu$  sources not identified [not unexpected].
- Temporal  $\nu-\gamma$  association is key to:
  - CR sources identification, Cosmic accelerators' physics,
  - Fundamental/ $\nu$  physics.

# What is required for the next stage of the $\nu$ astronomy revolution?

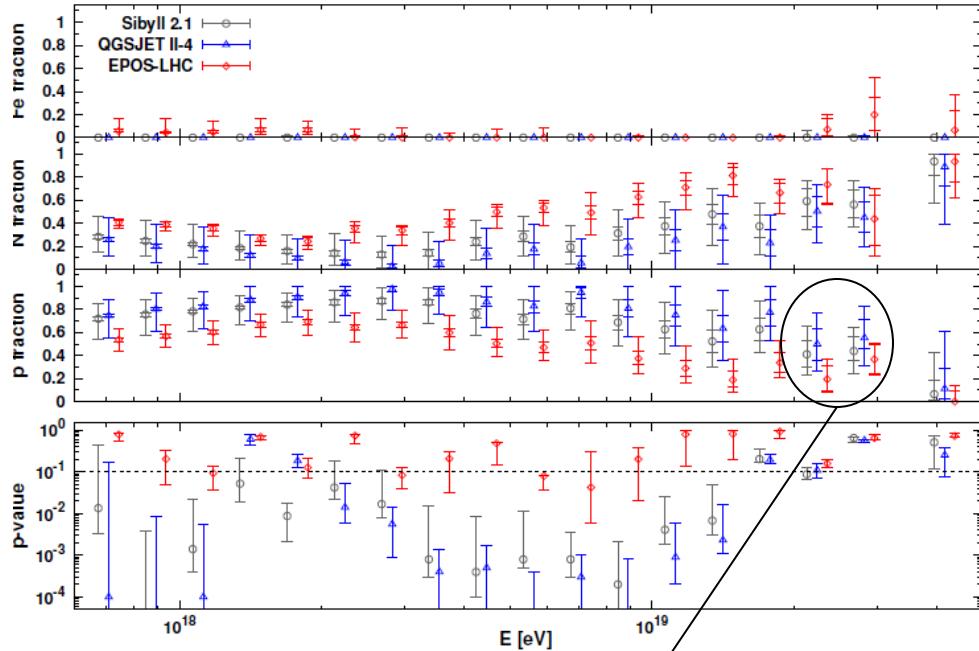
- IceCube's detection rate  
( $\sim 1/\text{yr}$  @  $E > 1 \text{ PeV}$ ,  $\sim 10/\text{yr}$  @  $E > 0.1 \text{ PeV}$ )  
insufficient for precision  
spectrum, flavor ratio and (an)isotropy,  
and for source identification.  
→ Expansion of  $\nu$  telescopes  $M_{\text{eff}}$  @  $\sim 1 \text{ PeV}$   
to  $\sim 10 \text{ Gton}$  (NG-IceCube, Km3Net).
- Wide field EM monitoring.
- Adequate sensitivity for detecting the  
 $\sim 10^{10} \text{ GeV GZK } \nu$ 's.
- HE  $\gamma$ -ray telescopes will play a key role



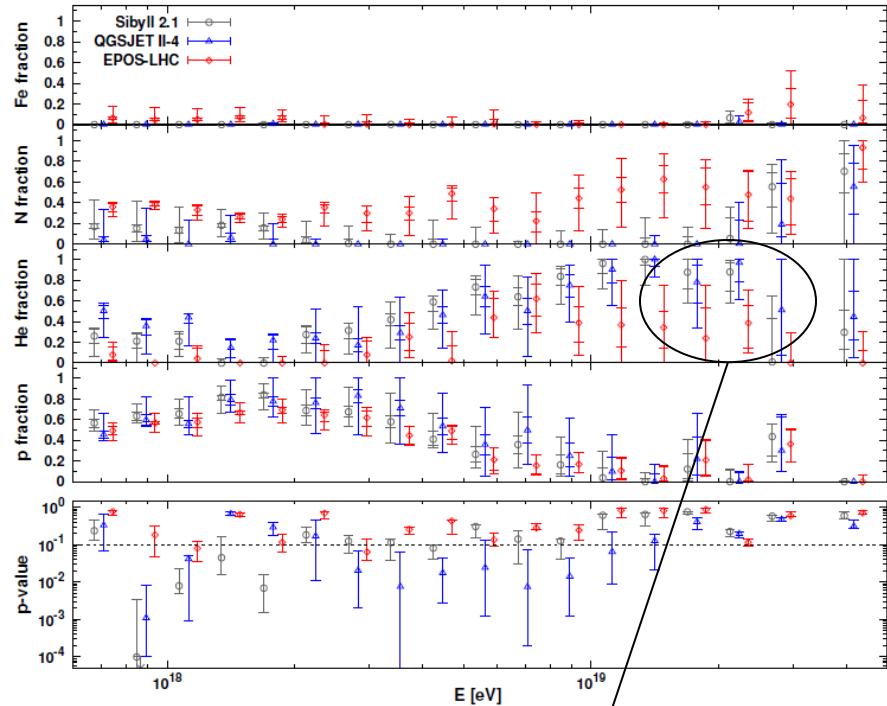
# Backup Slides

# Auger 2014: Fe out, He in

$p+N$



$p+He+N$

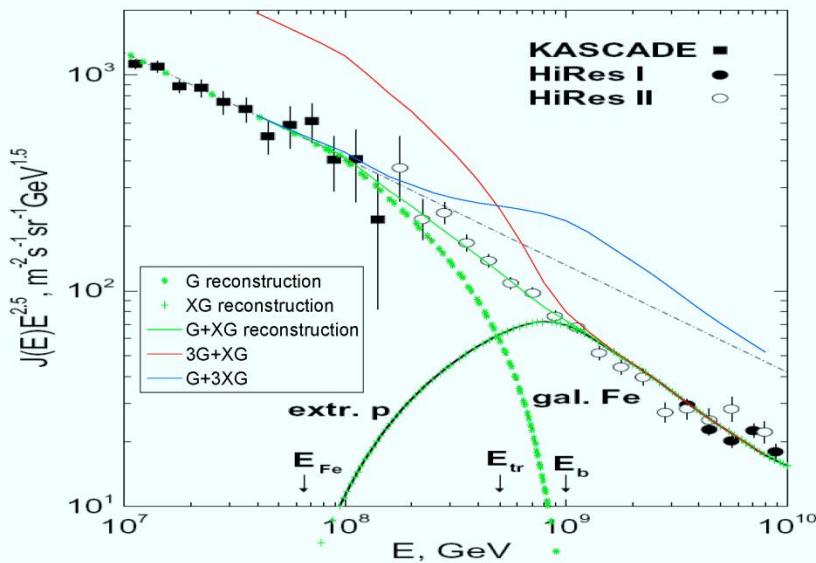


$10\% < p \text{ frac.} < 90\%$

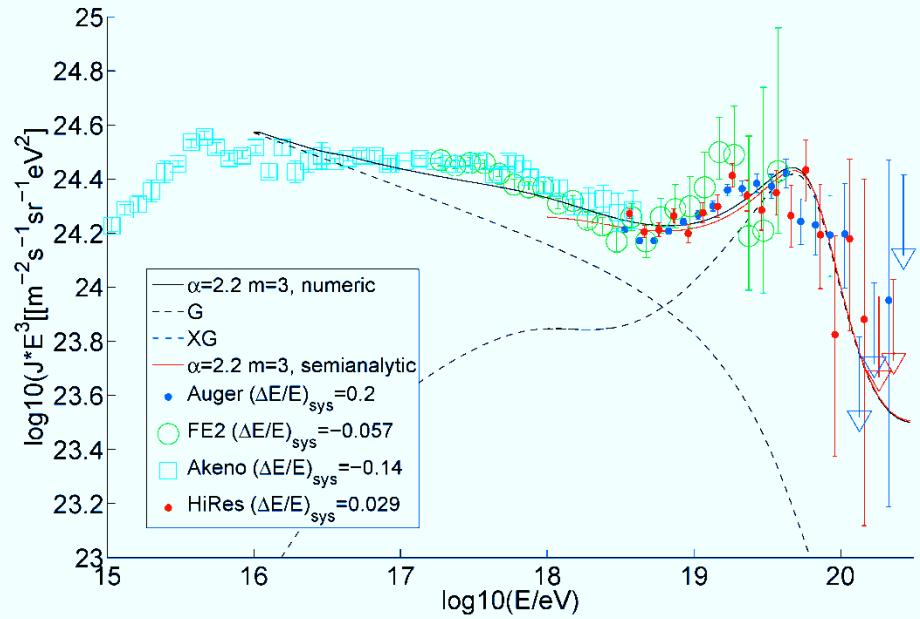
$0\% < He \text{ frac.} < 100\%$

# Where is the G-XG transition?

@  $E < 10^{18} \text{ eV}$  ?



$dQ/d\log \varepsilon = \text{Const} \rightarrow @ E \sim 10^{19} \text{ eV}$

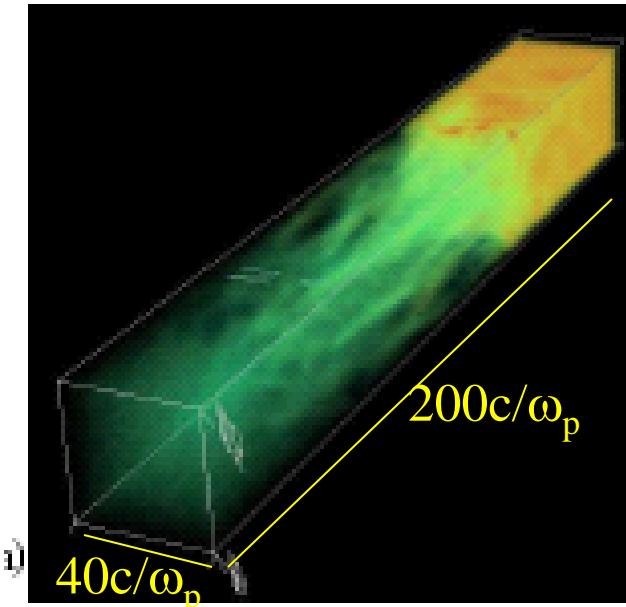


- Fine tuning

[Katz & EW 09]

# Collisionless shock acceleration

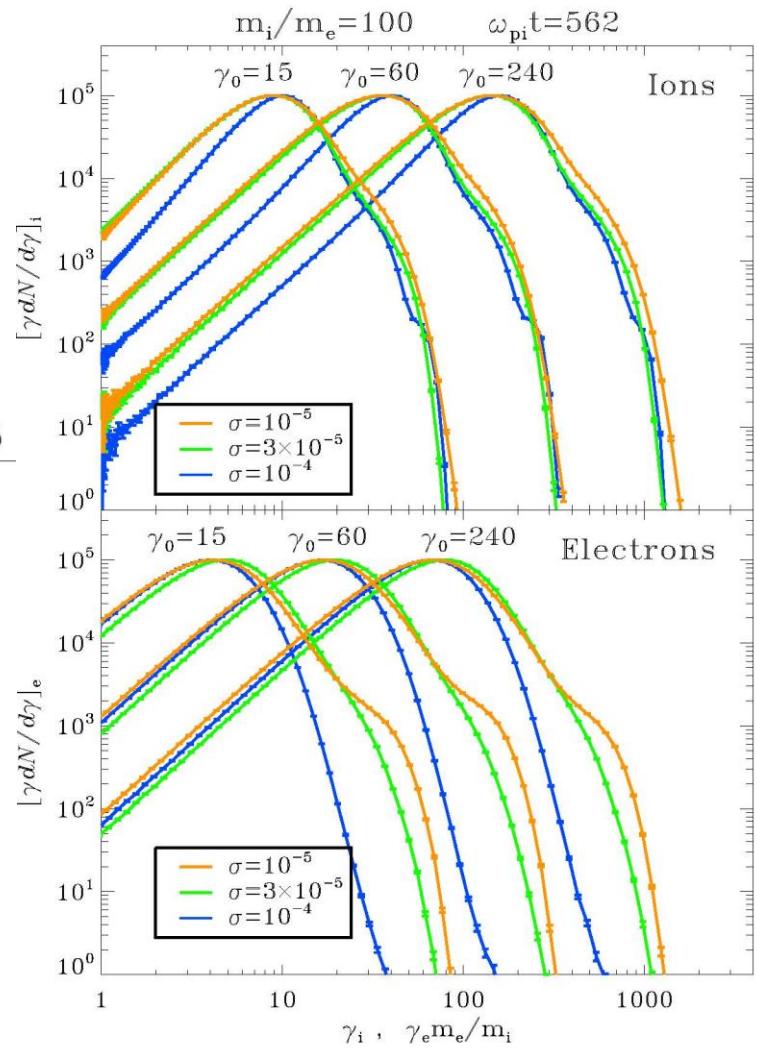
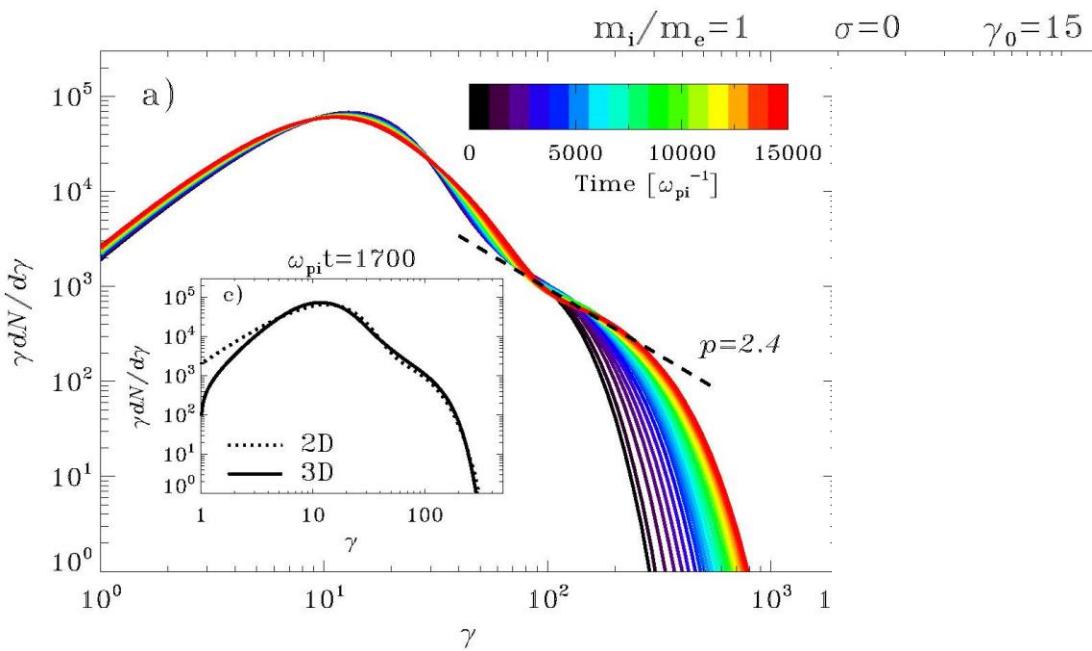
- The only predictive model.
- No complete basic principles theory, but
  - Test particle + elastic scattering assumptions give  
 $v/c \ll 1$ :  $dQ/d \log \varepsilon = \text{Const.}$ , [Krimsky 77]
  - $v/c \sim 1$ :  $dQ/d \log \varepsilon = \text{Const.} \times \varepsilon^{-2/9}$  ( $\Gamma \gg 1$ , isotropic scattering). [Keshet & EW 05]
  - Supported by basic principles plasma simulations,  
[Spitkovsky 06, Sironi & Spitkovsky 09, Keshet et al. 09, ..., Sironi, Spitkovsky & Arons 13]
  - $dQ/d \log \varepsilon = \text{Const}$  Observed in a wide range of sources  
(lower energy p's in the Galaxy, radiation emission from accelerated  $e^-$ ).



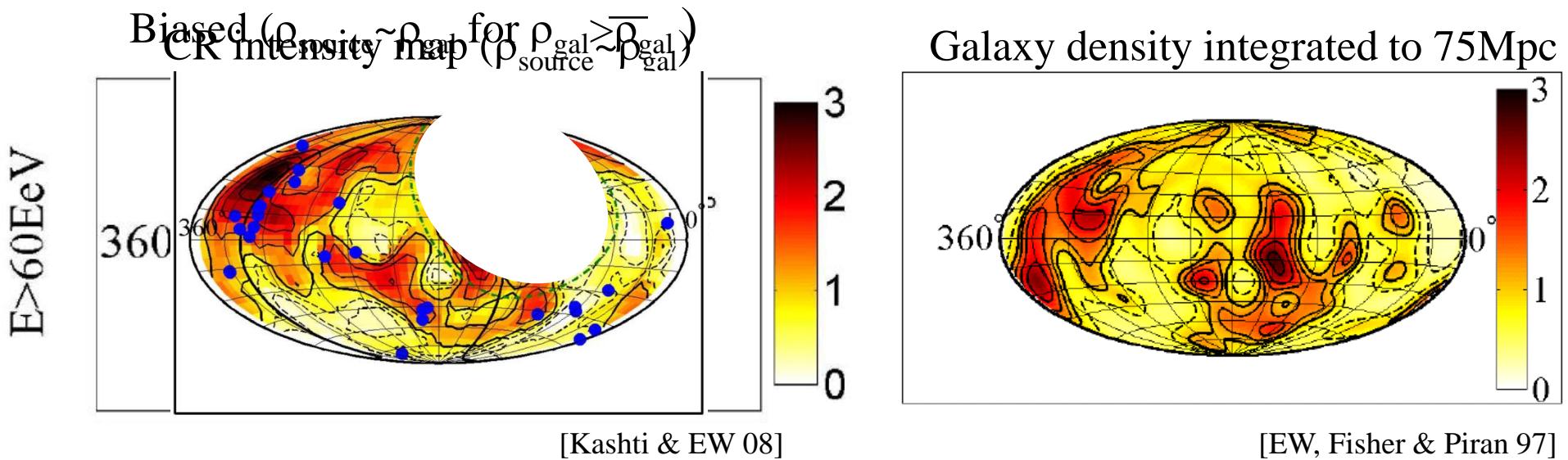
$$R_L(\varepsilon = \varepsilon_{\text{thermal}}) \approx \frac{c}{\omega_p}, \quad R_L \propto \varepsilon$$

# Particle acceleration in collisionless shocks

- No basic principles theory.
- Challenges:  
Self-consistent particle/B,  
Non linear with a wide range of  
temporal/physical scales.



# UHE: Do we learn from (an)isotropy?



- Anisotropy @ 98% CL; Consistent with LSS

[Kotera & Lemoine 08; Abraham et al. 08... Oikonomou et al. 13]

- TA  $3(?)\sigma$  20-degree "hotspot"?

[Abbasi et al. 14]

- Anisotropy of  $Z$  at  $10^{19.7}$ eV implies

Stronger aniso. signal due to  $p$  at  $(10^{19.7}/Z)$  eV, since  
acceleration & propagation of  $p(E/Z) = Z(E)$ .

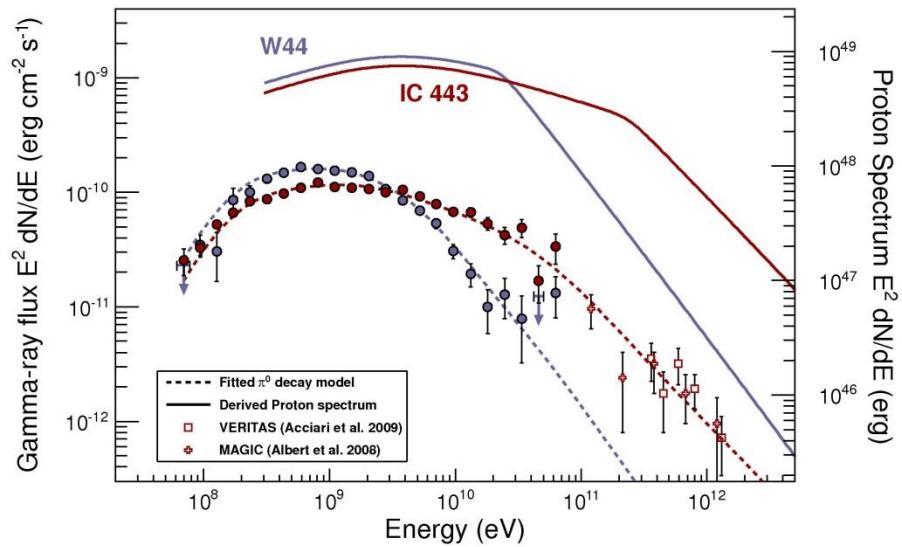
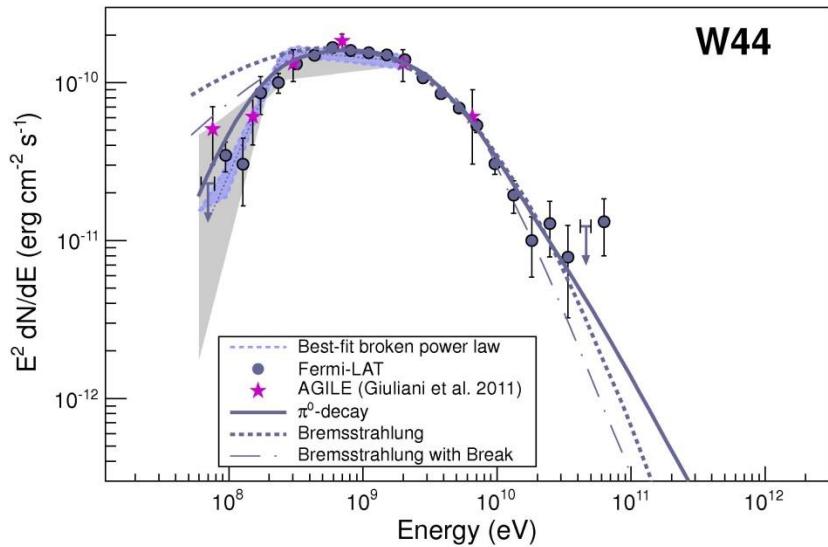
Not observed  $\rightarrow$  No high  $Z$  at  $10^{19.7}$ eV

[Lemoine & EW 09]

# Are SNRs the low E CR sources?

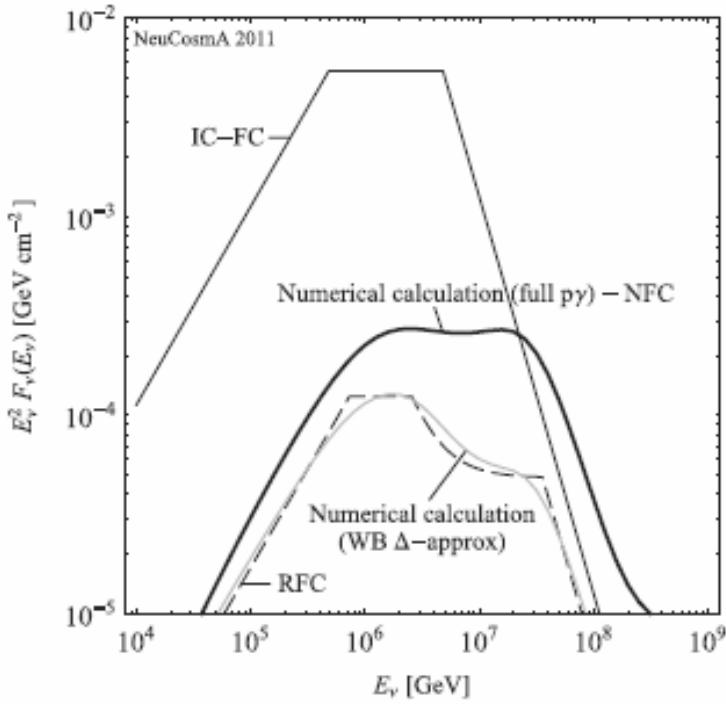
- So far, no clear evidence.  
Electromagnetic observations- ambiguous.

E.g.: “ $\pi$  decay signature” [Ackermann et al. 13]:



# IceCube's GRB limits

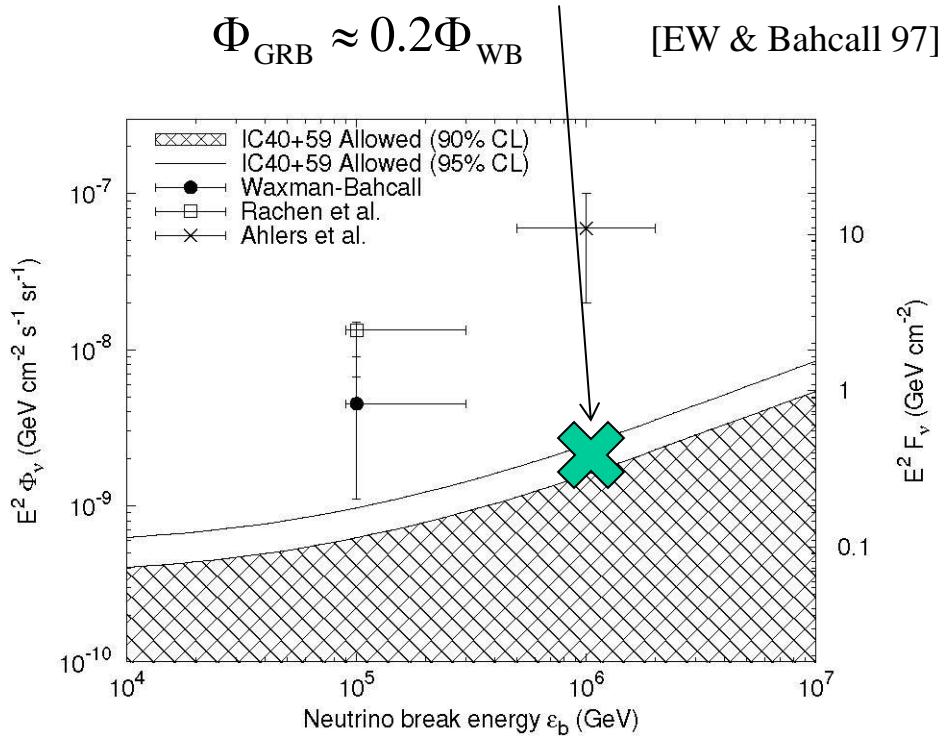
- No  $\nu$ 's associated with  $\sim 200$  GRBs ( $\sim 2$  expected).
- IC analyses overestimate GRB flux predictions, and ignore model uncertainties.
- IC is achieving relevant sensitivity.



[Hummer, Baerwald, and Winter 12;

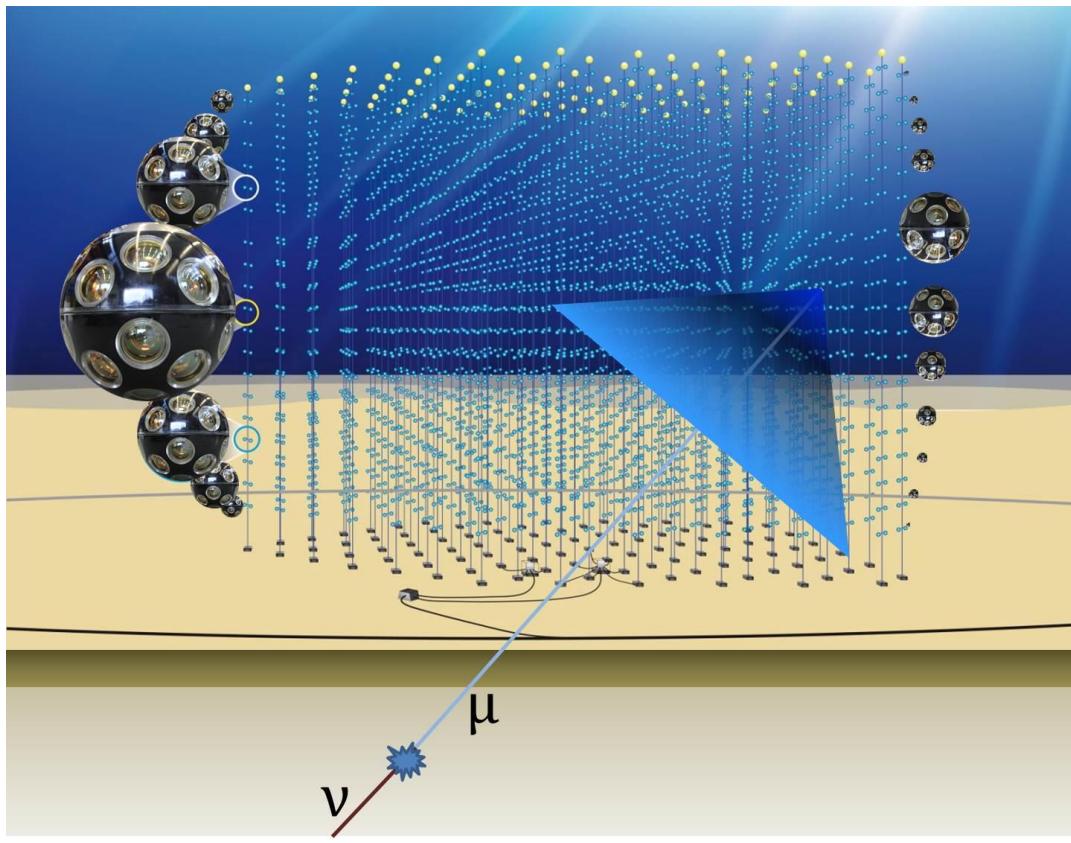
see also Li 12; He et al 12]

$$\varepsilon_{\nu,b} = 500 \left( \frac{\varepsilon_{\gamma,b}}{1 \text{ MeV}} \right)^{-1} \Gamma_{2.5}^2 \text{ TeV} \approx 1 \text{ PeV}$$



# Future experimental developments

- IC extension
- Mediterranean Km3Net ( $\sim 5 \times$  IC)



ARA & ARIANNA:  
Coherent radio Cerenkov,  
 $10^8$  to  $10^{10}$  GeV

